

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1: General requirements for basic safety and essential performance**

**Appareils électromédicaux –  
Partie 1: Exigences générales pour la sécurité de base et les performances  
essentiels**



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CH-1211 Geneva 20  
Switzerland  
Email: [inmail@iec.ch](mailto:inmail@iec.ch)  
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**MEDICAL ELECTRICAL EQUIPMENT –  
Part 1: General requirements for basic safety  
and essential performance**

**INTERPRETATION SHEET 1**

This interpretation sheet has been prepared by SC 62A: Common aspects of electrical equipment used in medical practice

The text of this interpretation sheet is based on the following documents:

ISH	Report on voting
62A/599/ISH	62A/613/RVD

Full information on the voting for the approval of this interpretation sheet can be found in the report on voting indicated in the above table.

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Subclause 1.1

*This subclause is clarified by the following:*

IEC 60601-1 does not apply to medical gas pipeline systems covered by ISO 7396-1, *Medical gas pipeline systems — Part 1: Pipeline systems for compressed medical gases and vacuum*.

NOTE Subclause 6.3 of ISO 7396-1 applies the requirement of IEC 60601-1-8 to certain monitoring and alarm signals.

This clarification will remain valid until a new version of IEC 60601-1 is published.

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## MEDICAL ELECTRICAL EQUIPMENT –

### Part 1: General requirements for basic safety and essential performance

#### INTERPRETATION SHEET 2

This interpretation sheet has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

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ISH	Report on voting
62A/634/ISH	62A/640/RVD

Full information on the voting for the approval of this interpretation sheet can be found in the report on voting indicated in the above table.

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#### Subclause 11.3

*This subclause is clarified by the following:*

As stated in the rationale for this subclause, fire ENCLOSURES are intended to be used only where there is a significant likelihood of fire due to the presence of a source of ignition (as described in the subclause) *and* a *significant* source of fuel. Most materials used in the construction of ME EQUIPMENT are not considered to be such a source of fuel unless they are in the presence of an OXYGEN RICH ENVIRONMENT. MANUFACTURERS should determine, through analyses documented in the RISK MANAGEMENT FILE, whether the ME EQUIPMENT contains combustible materials (fuel) in sufficient quantities to support combustion in conjunction with ignition sources (capable of releasing greater than 900 J).

#### Subclause 13.1.2

*This subclause is clarified by the following:*

As stated in subclause 4.7, it is the MANUFACTURER'S RISK ANALYSIS that determines which components are subject to failure testing based on the associated RISK. Where the associated RISK of fire exceeds the MANUFACTURER'S criteria for RISK acceptability, the MANUFACTURER'S simulation analysis (such as FMEAs) should be accepted in lieu of physical testing. As also stated in 4.7, component reliability and ratings are to be considered in such failure simulation analyses. Common electronic components that have a history of use without causing equipment fires should not be considered a likely source of ignition.

Where the subclause identifies "emission of flames, molten metal, poisonous or ignitable substance in hazardous quantities;" as a hazardous situation, this refers to emissions from *the ENCLOSURE* not from components themselves. Where it identifies "exceeding the allowable values for 'other components and materials' identified in Table 22 times 1,5 minus 12,5 °C", this applies only where doing so would result in an unacceptable RISK (as identified in the MANUFACTURER'S RISK ANALYSIS according to 4.7). Typically, this would be cases where

ESSENTIAL PERFORMANCE would not be maintained or where greater than 900 J of energy would be released in the presence of flammable materials that could sustain combustion.

The first exemption to fault analysis or testing identified in subclause 13.1.2 (“The construction or the supply circuit limits the power dissipation in SINGLE FAULT CONDITION to less than 15 W or the energy dissipation to less than 900 J.”) is intended to apply where the component design itself (“The construction”) or fusing (or other current limiting devices) in the supply circuit (“or the supply circuit”) assure the energy released during failures will not exceed the limits. For most common signal level components rated for operation below 5 Watts, the energy released by short-circuiting of outputs will not exceed the 900 J limit.

This clarification will remain valid until a new version of IEC 60601-1 is published.

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**MEDICAL ELECTRICAL EQUIPMENT –****Part 1: General requirements for basic safety  
and essential performance**

## FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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International Standard IEC 60601-1 has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This third edition cancels and replaces the second edition published in 1988, its Amendment 1 (1991) and Amendment 2 (1995). This edition constitutes a technical revision. This edition has been significantly restructured. Requirements in the electrical section have been further aligned with those for information technology equipment covered by IEC 60950-1 and a requirement for including a RISK MANAGEMENT PROCESS has been added. For an expanded description of this revision, see Clause A.3.



The text of this standard is based on the following documents:

FDIS	Report on voting
62A/505A/FDIS	62A/512/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this standard the following print types are used:

- Requirements and definitions: in roman type.
- *Test specifications: in italic type.*
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS USED THROUGHOUT THIS STANDARD THAT HAVE BEEN DEFINED IN CLAUSE 3 AND ALSO GIVEN IN THE INDEX: IN SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex G of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk ( \* ) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex A.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under “<http://webstore.iec.ch>” in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

The contents of the corrigenda of December 2006 and 2007 and the Interpretations sheets of April 2008 and January 2009 have been included in this copy.

## INTRODUCTION

In 1976, IEC subcommittee 62A published the first edition of IEC/TR 60513, *Basic aspects of the safety philosophy for electrical equipment used in medical practice*. The first edition of IEC/TR 60513 provided the basis for developing:

- the first edition of IEC 60601-1 (the parent safety standard for MEDICAL ELECTRICAL EQUIPMENT);
- the IEC 60601-1-xx series of collateral standards for MEDICAL ELECTRICAL EQUIPMENT;
- the IEC 60601-2-xx series of particular standards for particular types of MEDICAL ELECTRICAL EQUIPMENT; and
- the IEC 60601-3-xx series of performance standards for particular types of MEDICAL ELECTRICAL EQUIPMENT.

Aware of the need and the urgency for a standard covering electrical equipment used in medical practice, the majority of National Committees voted in 1977 in favour of the first edition of IEC 60601-1, based on a draft that at the time represented a first approach to the problem. The extent of the scope, the complexity of the equipment concerned, and the specific nature of some of the protective measures and the corresponding tests for verifying them, required years of effort in order to prepare this first standard, which can now be said to have served as a universal reference since its publication.

However, the frequent application of the first edition revealed room for improvement. These improvements were all the more desirable in view of the considerable success that this standard has enjoyed since its publication.

The careful work of revision subsequently undertaken and continued over a number of years resulted in the publication of the second edition in 1988. This edition incorporated all the improvements that could be reasonably expected up to that time. Further developments remained under constant study. The second edition was amended in 1991 and then again in 1995.

The original IEC approach was to prepare separate BASIC SAFETY and performance standards for MEDICAL ELECTRICAL EQUIPMENT. This was a natural extension of the historical approach taken at the national and international level with other electrical equipment standards (e.g. those for domestic equipment), where BASIC SAFETY is regulated through mandatory standards but other performance specifications are regulated by market pressure. In this context, it has been said that, “The ability of an electric kettle to boil water is not critical to its safe use!”

It is now recognized that this is not the situation with many items of MEDICAL ELECTRICAL EQUIPMENT, and RESPONSIBLE ORGANIZATIONS have to depend on standards to ensure ESSENTIAL PERFORMANCE as well as BASIC SAFETY. Such areas include the accuracy with which the equipment controls the delivery of energy or therapeutic substances to the PATIENT, or processes and displays physiological data that will affect PATIENT management.

This recognition means that separating BASIC SAFETY and performance is somewhat inappropriate in addressing the HAZARDS that result from inadequate design of MEDICAL ELECTRICAL EQUIPMENT. Many particular standards in the IEC 60601-2-xx series address a range of ESSENTIAL PERFORMANCE requirements that cannot be directly evaluated by the RESPONSIBLE ORGANIZATION without applying such standards. (However, the current IEC 60601 series includes fewer requirements for ESSENTIAL PERFORMANCE than for BASIC SAFETY).

In anticipation of a third edition of IEC 60601-1, IEC subcommittee 62A prepared a second edition of IEC/TR 60513 [12]<sup>1)</sup> in 1994. It was intended that the second edition of IEC/TR 60513 would provide guidance for developing this edition of IEC 60601-1, and for the further development of the IEC 60601-1-xx and IEC 60601-2-xx series.

In order to achieve consistency in international standards, address present expectations in the health care community and align with developments in IEC 60601-2-xx, the second edition of IEC/TR 60513 includes two major new principles:

- the first change is that the concept of “SAFETY” has been broadened from the BASIC SAFETY considerations in the first and second editions of IEC 60601-1 to include ESSENTIAL PERFORMANCE matters, (e.g. the accuracy of physiological monitoring equipment). Application of this principle leads to the change of the title of this publication from “Medical electrical equipment, Part 1: General requirements for safety” in the second edition, to “Medical electrical equipment, Part 1: General requirements for basic safety and essential performance”;
- the second change is that, in specifying minimum safety requirements, provision is made for assessing the adequacy of the design PROCESS when this is the only practical method of assessing the safety of certain technologies such as programmable electronic systems. Application of this principle is one of the factors leading to introduction of a general requirement to carry out a RISK MANAGEMENT PROCESS. In parallel with the development of the third edition of IEC 60601-1, a joint project with ISO/TC 210 resulted in the publication of a general standard for RISK MANAGEMENT of medical devices. Compliance with this edition of IEC 60601-1 requires that the MANUFACTURER have a RISK MANAGEMENT PROCESS complying with ISO 14971 in place (see 4.2).

This standard contains requirements concerning BASIC SAFETY and ESSENTIAL PERFORMANCE that are generally applicable to MEDICAL ELECTRICAL EQUIPMENT. For certain types of MEDICAL ELECTRICAL EQUIPMENT, these requirements are either supplemented or modified by the special requirements of a collateral or particular standard. Where particular standards exist, this standard should not be used alone.

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1) Figures in square brackets refer to the Bibliography.

# MEDICAL ELECTRICAL EQUIPMENT –

## Part 1: General requirements for basic safety and essential performance

### 1 Scope, object and related standards

#### 1.1 \* Scope

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

If a clause or subclause is specifically intended to be applicable to ME EQUIPMENT only, or to ME SYSTEMS only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME EQUIPMENT and to ME SYSTEMS, as relevant.

HAZARDS inherent in the intended physiological function of ME EQUIPMENT or ME SYSTEMS within the scope of this standard are not covered by specific requirements in this standard except in 7.2.13 and 8.4.1.

NOTE See also 4.2.

This standard can also be applied to equipment used for compensation or alleviation of disease, injury or disability.

In vitro diagnostic equipment that does not fall within the definition of ME EQUIPMENT is covered by the IEC 61010 series <sup>2)</sup>. This standard does not apply to the implantable parts of active implantable medical devices covered by ISO 14708-1 <sup>3)</sup>.

#### 1.2 Object

The object of this standard is to specify general requirements and to serve as the basis for particular standards.

#### 1.3 \* Collateral standards

In the IEC 60601 series, collateral standards specify general requirements for BASIC SAFETY and ESSENTIAL PERFORMANCE applicable to:

- a subgroup of ME EQUIPMENT (e.g. radiological equipment);
- a specific characteristic of all ME EQUIPMENT not fully addressed in this standard.

Applicable collateral standards become normative at the date of their publication and shall apply together with this standard.

NOTE 1 When evaluating compliance with IEC 60601-1, it is permissible to independently assess compliance with the collateral standards.

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<sup>2)</sup> IEC 61010 (all parts), *Safety requirements for electrical equipment for measurement, control, and laboratory use*

<sup>3)</sup> ISO 14708-1, *Implants for surgery – Active implantable medical devices – Part 1: General requirements for safety, marking and for information to be provided by the manufacturer*

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-1: General requirements for safety – Collateral standard: Safety  
requirements for medical electrical systems**

**Appareils électromédicaux –  
Partie 1-1: Règles générales de sécurité – Norme collatérale: Règles de sécurité  
pour systèmes électromédicaux**



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IEC 60601-1-1

Edition 2.0 2000-12

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-1: General requirements for safety – Collateral standard: Safety  
requirements for medical electrical systems**

**Appareils électromédicaux –  
Partie 1-1: Règles générales de sécurité – Norme collatérale: Règles de sécurité  
pour systèmes électromédicaux**

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

**MEDICAL ELECTRICAL EQUIPMENT –**

**Part 1-1: General requirements for safety –  
Collateral standard:  
Safety requirements for medical electrical systems**

FOREWORD

- 1) The IEC (International Electrotechnical Commission) is a world-wide organisation for standardisation comprising all national electrotechnical committees (IEC National Committees). The object of the IEC is to promote international co-operation on all questions concerning standardisation in the electrical and electronic fields. To this end and in addition to other activities, the IEC publishes International Standards. Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organisations liaising with the IEC also participate in this preparation. The IEC collaborates closely with the International Organisation for Standardisation (ISO) in accordance with conditions determined by agreement between the two organisations.
- 2) The formal decisions or agreements of the IEC on technical matters, prepared by technical committees on which all the National Committees having a special interest therein are represented, express, as nearly as possible, an international consensus of opinion on the subjects dealt with.
- 3) They have the form of recommendations for international use published in the form of standards, technical specifications, technical reports or guides and they are accepted by the National Committees in that sense.
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International Standard IEC 60601-1-1 has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This second edition of 60601-1-1 cancels and replaces the first edition published in 1992 and its amendment 1(1995) and constitutes a technical revision.

This second edition is a Collateral Standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety*, hereinafter referred to as the General Standard, and is the first of a series of Collateral Standards amplifying the General Standard.

The text of this Collateral Standard is based on the following documents:

FDIS	Report on voting
62A/312/FDIS	62A/318/RVD

Full information on the voting for the approval of this Collateral Standard can be found in the report on voting indicated in the above table.

In the 60601 series of publications, Collateral Standards specify general requirements for safety applicable to

- a group of MEDICAL ELECTRICAL EQUIPMENT (for example, radiological equipment);
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the General Standard (for example, electromagnetic compatibility).

The numbering of sections, clauses and subclauses of this Collateral Standard corresponds with that of the General Standard.

Subclauses and figures which are additional to those of the General Standard are numbered starting from 201; additional annexes are lettered AAA, BBB, etc.

In this Collateral Standard, the following print types are used:

- requirements, compliance with which can be tested and definitions: in roman type;
- explanations, advice, general statements, exceptions and references: in smaller roman type;
- *test specifications: in italic type;*
- TERMS DEFINED IN CLAUSE 2 OF THE GENERAL STANDARD OR OF THIS COLLATERAL STANDARD: SMALL CAPITALS.

The requirements are followed by specifications for the relevant tests.

Some provisions or statements in the body of this Collateral Standard require additional information. Such information is presented in the informative annex AAA, General guidance and rationale. An asterisk (\*) at the left margin of a clause or subclause indicates the presence of additional information.

Annexes AAA, BBB, DDD and FFF are for information only.

Annexes CCC and EEE form an integral part of this Collateral Standard.

The committee has decided that the contents of this publication will remain unchanged until 2005. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

**MEDICAL ELECTRICAL EQUIPMENT –**  
**Part 1-1: General requirements for safety –**  
**Collateral Standard:**  
**Safety requirements for medical electrical systems**

SECTION ONE — GENERAL

**1 Scope and object**

**\*1.201 Scope**

This standard applies to the safety of MEDICAL ELECTRICAL SYSTEMS, as defined in 2.201. It describes the safety requirements necessary to provide protection for the PATIENT, the OPERATOR and surroundings.

**2 Terminology and definitions**

In this Collateral Standard, terms printed in small capitals are used in accordance with their definitions in IEC 60601-1.

Where the terms "voltage" and "current" are used, they mean the r.m.s. values of an alternating, direct or composite voltage or current.

For the purpose of this standard the following additional definitions apply:

**2.201**

**MEDICAL ELECTRICAL SYSTEM** (hereinafter referred to as SYSTEM)

combination of items of equipment, at least one of which must be MEDICAL ELECTRICAL EQUIPMENT and inter-connected by FUNCTIONAL CONNECTION or use of a MULTIPLE PORTABLE SOCKET-OUTLET

NOTE Equipment, when mentioned in connection with a SYSTEM, should be taken to include EQUIPMENT. (See also examples given in annexes BBB and FFF.)

**\*2.202**

**PATIENT ENVIRONMENT**

any volume in which intentional or unintentional contact can occur between PATIENT and parts of the SYSTEM or between PATIENT and other persons touching parts of the SYSTEM (see figure 201)

**\*2.203**

**SEPARATION DEVICE**

a component or arrangement of components with input parts and output parts that, for safety reasons, prevent a transfer of unwanted voltage or current between parts of a SYSTEM

**\*2.204**

**MULTIPLE PORTABLE SOCKET-OUTLET**

a combination of two or more socket-outlets intended to be connected to, or integral with, flexible cables or cords, and which can easily be moved from one place to another while connected to the supply

NOTE A MULTIPLE PORTABLE SOCKET-OUTLET may be a separate item or an integral part of medical or non-medical equipment

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-2: General requirements for basic safety and essential performance –  
Collateral standard: Electromagnetic compatibility – Requirements and tests**

**Appareils électromédicaux –  
Partie 1-2: Exigences générales pour la sécurité de base et les performances  
essentielles – Norme collatérale: Compatibilité électromagnétique –  
Exigences et essais**



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IEC Central Office  
3, rue de Varembe  
CH-1211 Geneva 20  
Switzerland  
Email: [inmail@iec.ch](mailto:inmail@iec.ch)  
Web: [www.iec.ch](http://www.iec.ch)

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# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-2: General requirements for basic safety and essential performance –  
Collateral standard: Electromagnetic compatibility – Requirements and tests**

**Appareils électromédicaux –  
Partie 1-2: Exigences générales pour la sécurité de base et les performances  
essentielles – Norme collatérale: Compatibilité électromagnétique –  
Exigences et essais**

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ELECTROTECHNICAL  
COMMISSION

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ELECTROTECHNIQUE  
INTERNATIONALE

PRICE CODE  
CODE PRIX

**XF**

SC 62A/Publication IEC 60601-1-2 (2007), Third edition/I-SH 01

## MEDICAL ELECTRICAL EQUIPMENT –

### Part 1-2: General requirements for basic safety and essential performance – Collateral standard: Electromagnetic compatibility – Requirements and tests

#### INTERPRETATION SHEET

This interpretation sheet has been prepared by SC 62A: Common aspects of electrical equipment used in medical practice.

The text of this interpretation sheet is based on the following documents:

ISH	Report on voting
62A/685/ISH	62A/694/RVD

Full information on the voting for the approval of this interpretation sheet can be found in the report on voting indicated in the above table.

---

#### **Subclause 6.2.2.2 e)** (ESD IMMUNITY)

(This is also applicable to Subclause 36.202.2 b) 5) in IEC 60601-1-2:2001<sup>1)</sup>.)

This subclause states the following:

*The test may be performed with the ME EQUIPMENT or ME SYSTEM powered at any one of its NOMINAL input voltages and frequencies.*

This is clarified by the following:

*The test may be performed at any input power voltage and frequency within the ME EQUIPMENT or ME SYSTEM RATED voltage and frequency range. If the ME EQUIPMENT or ME SYSTEM is tested at one power input voltage and one power input frequency meeting this specification, it is not necessary to re-test at additional voltages or frequencies.*

#### **Subclause 6.2.3.2 j)** (Radiated RF IMMUNITY)

(This is also applicable to Subclause 36.202.3 b) 10) in IEC 60601-1-2:2001.)

This subclause states the following:

*The test may be performed with the ME EQUIPMENT or ME SYSTEM powered at any one of its NOMINAL input voltages and frequencies.*

This is clarified by the following:

---

<sup>1)</sup> A consolidated edition 2.1 exists (withdrawn) including IEC 60601-1-2:2001 and its Amendment 1 (2004).



*The test may be performed at any power input voltage and frequency within the ME EQUIPMENT or ME SYSTEM RATED voltage and frequency range. If the EQUIPMENT or SYSTEM is tested at one power input voltage and one power input frequency meeting this specification, it is not necessary to re-test at additional voltages or frequencies.*

**Subclause 6.2.4.2 e) (EFT/burst IMMUNITY)**

(This is also applicable to Subclause 36.202.4 b) 5) in IEC 60601-1-2:2001.)

This subclause states the following:

*For ME EQUIPMENT and ME SYSTEMS that have, for power input, multiple voltage settings or autoranging voltage capability, the test is performed at the minimum and maximum RATED input voltages. The test may be performed with the ME EQUIPMENT or ME SYSTEM powered at any one of its NOMINAL power frequencies.*

This is clarified by the following:

*For ME EQUIPMENT and ME SYSTEMS that have, for power input, multiple voltage settings or autoranging voltage capability, the test shall be performed at the minimum and maximum ME EQUIPMENT or ME SYSTEM RATED power input voltages. The test may be performed at any power input frequency within the ME EQUIPMENT or ME SYSTEM RATED range. If the ME EQUIPMENT or ME SYSTEM is tested at power input voltages and a power input frequency meeting these specifications, it is not necessary to re-test at additional voltages or frequencies.*

**Subclause 6.2.5.2 f) (Surge IMMUNITY)**

(This is also applicable to Subclause 36.202.5 b) 6) in IEC 60601-1-2:2001.)

This subclause states the following:

*For ME EQUIPMENT and ME SYSTEMS that have, for power input, multiple voltage settings or autoranging voltage capability, the test is performed at the minimum and maximum RATED input voltages. The test may be performed with the ME EQUIPMENT or ME SYSTEM powered at any one of its NOMINAL power frequencies.*

This is clarified by the following:

*For ME EQUIPMENT and ME SYSTEMS that have, for power input, multiple voltage settings or autoranging voltage capability, the test shall be performed at the minimum and maximum ME EQUIPMENT or ME SYSTEM RATED power input voltages. The test may be performed at any power input frequency within the ME EQUIPMENT or ME SYSTEM RATED range. If the ME EQUIPMENT or ME SYSTEM is tested at power input voltages and a power input frequency meeting these specifications, it is not necessary to re-test at additional voltages or frequencies.*

**Subclause 6.2.6.2 j) (Conducted RF IMMUNITY)**

(This is also applicable to Subclause 36.202.6 b) 10) in IEC 60601-1-2:2001.)

This subclause states the following:

*The test may be performed with the ME EQUIPMENT or ME SYSTEM powered at any one of its NOMINAL input voltages and frequencies.*

This is clarified by the following:

The test may be performed at any power input voltage and frequency within the ME EQUIPMENT or ME SYSTEM RATED voltage and frequency range. If the EQUIPMENT or SYSTEM is

tested at one power input voltage and one power input frequency meeting this specification, it is not necessary to re-test at additional voltages or frequencies.

**Subclause 6.2.7.2 c)** (Voltage dips and interruptions IMMUNITY)

(This is also applicable to Subclause 36.202.7 b) 4) in IEC 60601-1-2:2001.)

This subclause states the following:

*For ME EQUIPMENT and ME SYSTEMS that have, for power input, multiple voltage settings or autoranging voltage capability, the test is performed at the minimum and maximum RATED input voltages. The test is performed at the minimum RATED power frequency.*

This is clarified by the following:

*For ME EQUIPMENT and ME SYSTEMS that have, for power input, multiple voltage settings or autoranging voltage capability, the test shall be performed at the minimum and maximum ME EQUIPMENT or ME SYSTEM RATED input voltages. The test shall be performed with the ME EQUIPMENT or ME SYSTEM powered at the minimum RATED power frequency. If the ME EQUIPMENT or ME SYSTEM is tested at power input voltages and a power input frequency meeting these specifications, it is not necessary to re-test at additional voltages or frequencies.*

**Subclause 6.2.8.1.2** (Power-frequency magnetic field IMMUNITY)

(This is also applicable to Subclause 36.202.8.1 b) in IEC 60601-1-2:2001.)

This subclause states the following:

a) (Item 1) in IEC 60601-1-2:2001)

*Only the continuous field test shall be performed.*

- *The test is performed at both 50 Hz and 60 Hz, with the exception that ME EQUIPMENT and ME SYSTEMS RATED for use only at one of these frequencies need only be tested at that frequency. In either case, during the test, the ME EQUIPMENT or ME SYSTEM is powered at the same frequency as the applied magnetic field.*
- *If the ME EQUIPMENT or ME SYSTEM is INTERNALLY POWERED or powered from an external d.c. supply, the test is performed at both 50 Hz and 60 Hz, with the exception that ME EQUIPMENT and ME SYSTEMS intended for use only in areas supplied at one of these frequencies need be tested only at that frequency.*

b) (Item 2) in IEC 60601-1-2:2001))

*The test may be performed with the ME EQUIPMENT or ME SYSTEM powered at any one of its NOMINAL power voltages.*

Item b) is clarified by the following:

*The test may be performed at any power input voltage within the ME EQUIPMENT or ME SYSTEM RATED power input voltage range. If the EQUIPMENT or SYSTEM is tested at one power input voltage, it is not necessary to re-test at additional voltages.*

For EMISSIONS, IEC 60601-1-2 references CISPR 11. IEC 60601-1-2 does not add any clarification regarding the power input voltage and frequency during EMISSIONS testing.

**Subclause 7.5.3 of CISPR 11:2009** states the following:

Mains power at the nominal voltage shall be supplied.

This is clarified by the following:

*The test may be performed at any input power voltage and frequency within the ME EQUIPMENT or ME SYSTEM RATED voltage and frequency range. If the ME EQUIPMENT or ME SYSTEM is tested at one power input voltage and one power input frequency meeting this specification, it is not necessary to re-test at additional voltages or frequencies.*

**Subclause 9.1 of CISPR 11:2009** states the following:

Power at the nominal voltage shall be supplied.

This is clarified by the following:

*The test may be performed at any input power voltage and frequency within the ME EQUIPMENT or ME SYSTEM RATED voltage and frequency range. If the ME EQUIPMENT or ME SYSTEM is tested at one power input voltage and one power input frequency meeting this specification, it is not necessary to re-test at additional voltages or frequencies.*

These clarifications will remain valid until a new version of IEC 60601-1-2 is published.

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**MEDICAL ELECTRICAL EQUIPMENT –****Part 1-2: General requirements for basic safety  
and essential performance –  
Collateral standard:  
Electromagnetic compatibility – Requirements and tests**

## FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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International standard IEC 60601-1-2 has been prepared by IEC subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This third edition constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety and essential performance* hereafter referred to as the general standard.

This document cancels and replaces the second edition of IEC 60601-1-2, and constitutes a technical revision.

This edition of IEC 60601-1-2 was revised to structurally align it with the 2005 edition of IEC 60601-1 and to implement the decision of IEC subcommittee 62A that the clause numbering structure of collateral standards written to IEC 60601-1:2005 would adhere to the form specified in ISO/IEC Directives, Part 2:2004. The principle technical changes are in Clause 4, which now recognizes that there is a general requirement for a risk management process in IEC 60601-1:2005.

The text of this standard is based on the following documents:

FDIS	Report on voting
62A/560/FDIS	62A/567/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. alarm systems).

In this collateral standard, the following print types are used:

- requirements and definitions: roman type.
- *test specifications: italic type.*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

NOTE Defined terms are not printed in SMALL CAPITALS in Table 1 through Table 8, in the tables in Annex C and in statements required to appear in the technical description or instructions for use because they are intended for the OPERATOR OF RESPONSIBLE ORGANIZATION, who may not be familiar with the defined terms of IEC 60601 standards.

In referring to the structure of this standard, the term

- “clause” means one of the six numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 6 includes 6.1, 6.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 6.1, 6.2 and 6.2.1 are all subclauses of Clause 6).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;



- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses, items and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

A list of all parts of the IEC 60601 series, under the general title: *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

## INTRODUCTION

The need for establishing specific ELECTROMAGNETIC COMPATIBILITY standards for MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS is well recognized.

In particular, the existence of ELECTROMAGNETIC EMISSION standards is essential for the protection of:

- safety services;
- other MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS;
- electrical equipment that is not ME EQUIPMENT (e.g. computers);
- telecommunications (e.g. radio/TV, telephone, radio-navigation).

Of even more importance, the existence of ELECTROMAGNETIC IMMUNITY standards is essential to assure safety of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS. ELECTROMAGNETIC COMPATIBILITY (see Definition 3.4) differs from other aspects of safety covered by IEC 60601-1 because the electromagnetic phenomena exist, with varying degrees of severity, in the normal use environment of all MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS and by definition the equipment must “perform satisfactorily” within its intended environment in order to establish ELECTROMAGNETIC COMPATIBILITY. This means that the conventional single fault approach to safety is not appropriate for application to ELECTROMAGNETIC COMPATIBILITY standards. The ELECTROMAGNETIC DISTURBANCE environment can be compared to ambient temperature, humidity and atmospheric pressure. MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS may experience environmental conditions within the expected range at any time, and for extended periods of time. As with atmospheric pressure and humidity, the OPERATOR of the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM may not be aware of ambient levels on a continuous basis. The IMMUNITY TEST LEVELS specified in this collateral standard (IEC 60601 TEST LEVELS) represent the range found in the general medical use environment. Therefore, under these conditions, the performance of the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM would also be expected to be normal.

MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS are used in the practice of medicine because they provide needed FUNCTIONS. If MEDICAL ELECTRICAL EQUIPMENT or a MEDICAL ELECTRICAL SYSTEM does not provide its needed FUNCTION, because of a lack of IMMUNITY to events expected in the normal use environment, this interferes with the practice of medicine and cannot be considered an acceptable situation.

This edition recognizes that there is a shared responsibility between MANUFACTURERS, RESPONSIBLE ORGANIZATIONS and OPERATORS to ensure that MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS are designed and operated as intended. The MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM MANUFACTURER’S responsibility is to design and manufacture to meet the requirements of this collateral standard and to disclose information to the RESPONSIBLE ORGANIZATION or OPERATOR so that a compatible ELECTROMAGNETIC ENVIRONMENT can be maintained in order that the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM will perform as intended.

Because the practice of medicine involves many specialities, there will by necessity be MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS that are designed to perform a variety of FUNCTIONS. Some FUNCTIONS involve, for example, measurement of signals from a PATIENT that are of very low levels when compared to ELECTROMAGNETIC NOISE levels that can be coupled into MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS during the ELECTROMAGNETIC IMMUNITY testing specified in this collateral standard. Because of the proven benefits of many such MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, this collateral standard allows the IMMUNITY TEST LEVELS to be lowered, provided there is sufficient justification based on physical, technological or physiological limitations. In this case, the MANUFACTURER is required to disclose the levels at which the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM meets the performance requirements of this collateral standard and to specify the characteristics of the ELECTROMAGNETIC use environment and how this environment is established, in which the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM will perform as intended.

This collateral standard also recognizes that for certain environments, higher IMMUNITY LEVELS may be required. Research necessary to determine how to identify the environments that may require higher IMMUNITY LEVELS, as well as what the levels should be, is in progress.

Finally, this collateral standard recognizes that for LIFE-SUPPORTING MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, higher levels of IMMUNITY are necessary in order to establish a broader safety margin, even for use in the general medical use environment. Therefore, this collateral standard specifies additional requirements for LIFE-SUPPORTING MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS.

This collateral standard is based on existing IEC standards prepared by subcommittee 62A, technical committee 77 (electromagnetic compatibility between electrical equipment including networks) and CISPR (International special committee on radio interference).

The ELECTROMAGNETIC COMPATIBILITY requirements specified by this collateral standard are generally applicable to MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS as defined in 3.63 and 3.64 in the general standard. For certain types of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, these requirements may need to be modified by the special requirements of a particular standard. Writers of particular standards are encouraged to refer to Annex E for guidance in the application of this collateral standard.

## **MEDICAL ELECTRICAL EQUIPMENT –**

### **Part 1-2: General requirements for basic safety and essential performance –**

#### **Collateral standard: Electromagnetic compatibility – Requirements and tests**

## **1 Scope, object and related standards**

### **1.1 \* Scope**

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

This collateral standard applies to ELECTROMAGNETIC COMPATIBILITY of ME EQUIPMENT and ME SYSTEMS.

### **1.2 Object**

The object of this collateral standard is to specify general requirements and tests for ELECTROMAGNETIC COMPATIBILITY of ME EQUIPMENT and ME SYSTEMS. They are in addition to the requirements of the general standard and serve as the basis for particular standards.

### **1.3 Related standards**

#### **1.3.1 IEC 60601-1**

For ME EQUIPMENT and ME SYSTEMS, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- "the general standard" designates IEC 60601-1 alone;
- "this collateral standard" designates IEC 60601-1-2 alone;
- "this standard" designates the combination of the general standard and this collateral standard.

#### **1.3.2 Particular standards**

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.

## **2 Normative references**

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60417, *Graphical symbols for use on equipment*

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-3: General requirements for basic safety and essential performance –  
Collateral Standard: Radiation protection in diagnostic X-ray equipment**

**Appareils électromédicaux –  
Partie 1-3: Exigences générales pour la sécurité de base et les performances  
essentielle – Norme collatérale: Radioprotection dans les appareils à  
rayonnement X de diagnostic**





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Switzerland  
Email: [inmail@iec.ch](mailto:inmail@iec.ch)  
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# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-3: General requirements for basic safety and essential performance –  
Collateral Standard: Radiation protection in diagnostic X-ray equipment**

**Appareils électromédicaux –  
Partie 1-3: Exigences générales pour la sécurité de base et les performances  
essentielles – Norme collatérale: Radioprotection dans les appareils à  
rayonnement X de diagnostic**

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**MEDICAL ELECTRICAL EQUIPMENT –****Part 1-3: General requirements for basic safety  
and essential performance –  
Collateral Standard:  
Radiation protection in diagnostic X-ray equipment**

## FOREWORD

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International standard IEC 60601-1-3 has been prepared by IEC subcommittee 62B: Diagnostic imaging equipment, of IEC technical committee 62: Electrical equipment in medical practice.

This second edition constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance* hereafter referred to as the general standard.

This document cancels and replaces the first edition of IEC 60601-1-3, published in 1994 (which replaced IEC 407 issued in 1973). It constitutes a technical revision. This edition has been restructured and aligned to IEC 60601-1(2005) and focussed on general requirements for RADIATION PROTECTION that apply to all diagnostic X-RAY EQUIPMENT. Requirements particular to specific equipment have been removed and will be covered in particular standards. For a description of the changes, see the mapping in Annex C.

The text of this collateral standard is based on the following documents:

FDIS	Report on voting
62B/673/FDIS	62B/683/RVD

Full information on the voting for the approval of this collateral standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. RADIOLOGICAL equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. alarm systems).

In this collateral standard, the following print types are used:

- requirements and definitions: roman type.
- *test specifications: italic type.*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the thirteen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this collateral standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

A list of all parts of the IEC 60601 series, published under the general title *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this edition and the base publication will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended

## INTRODUCTION

The requirements in this collateral Standard concern protective measures to be taken by the MANUFACTURER in the design and construction of medical diagnostic X-RAY EQUIPMENT and its subassemblies. They relate to the application of the X-RADIATION generated, both deliberately and incidentally, in fulfilling the medical purpose of the EQUIPMENT. Additional measures are necessary to regulate the generation processes themselves. These are described in the general requirements for safety, IEC 60601-1, and, where appropriate, in particular requirements for the EQUIPMENT concerned. The second edition of this collateral standard is focused on general requirements for RADIATION PROTECTION. The aim of the revision was to restrict to those requirements that apply to all diagnostic X-RAY EQUIPMENT. In consequence, most of the clauses have been reduced compared with the first edition of this standard, owing to the exclusion of content specific to projection RADIOGRAPHY and RADIOSCOPY. Implementation shall be considered in the RISK MANAGEMENT process or by using particular standards.

The recommended principles governing the use of RADIATION for medical purposes, as stated in Publication 60 of the International Commission on Radiological Protection (ICRP)[17]<sup>1)</sup>, Chapter 4, have been taken into account. The implementation of these principles is essentially determined in the prevailing circumstances at the point of use. It requires judgements to be made by the user and the establishment of measures and working practices part of which are connected with the construction of EQUIPMENT. The requirements in this collateral Standard are intended to be consistent with generally accepted good practice in the administration of X-RADIATION in medicine.

In some cases, the formulation of the requirements is deliberately designed to provide scope for accommodating local laws and regulations at the time of installation and commissioning. Several of the requirements include provisions for relevant technical information to be included in ACCOMPANYING DOCUMENTS.

RESPONSIBLE ORGANIZATIONS for medical diagnostic X-RAY EQUIPMENT should be aware that effective protection against IONIZING RADIATION requires the consideration of many aspects additional to the construction of the EQUIPMENT. Among these are the following:

- compatibility of components and correct installation of EQUIPMENT;
- the protective properties of rooms where X-RAY EQUIPMENT is installed;
- measures for monitoring and maintaining the safety and effectiveness of EQUIPMENT throughout its life, with particular attention to components that can deteriorate progressively with time and use;
- the need in appropriate circumstances for PROTECTIVE CLOTHING to be worn by staff and for suitable devices to be used to protect PATIENTS;
- the keeping of appropriate records concerning the usage of the EQUIPMENT and the results of tests, with systematic review and the application of corrective action when necessary;
- the training of staff in the principles of RADIATION PROTECTION and in the correct use of EQUIPMENT, including any PROTECTIVE DEVICES provided.

Further advice on these aspects can be found in ICRP Publications 33[15], 34[16], 60[17], 73[18], 85[21], 87[22] and 93[23].

Readers of this collateral standard are reminded that, in accordance with IEC 60601-1, Clause 5, all the test procedures described are TYPE TESTS, intended to be carried out in a dedicated testing environment in order to determine compliance. Tests to be carried out by MANUFACTURERS to ensure compliance during production or installation and tests for detecting non-compliance subsequently to delivery, are not included.

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1) Figures in square brackets refer to the Bibliography.

**MEDICAL ELECTRICAL EQUIPMENT –**  
**Part 1-3: General requirements for basic safety**  
**and essential performance –**  
**Collateral Standard:**  
**Radiation protection in diagnostic X-ray equipment**

## **1 Scope, object and related standards**

### **1.1 Scope**

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

This collateral standard applies to X-RAY EQUIPMENT and to subassemblies of such equipment, where RADIOLOGICAL IMAGES of a human PATIENT are used for diagnosis, planning or guidance of medical procedures.

### **1.2 Object**

The object of this collateral standard is to specify general requirements that are in addition to those of the general standard and to serve as the basis for particular standards.

The object of this collateral standard is to establish general requirements for protection against X-RADIATION in X-RAY EQUIPMENT, in order that the IRRADIATION of the human PATIENT, the OPERATOR, staff and members of the public can be kept as low as reasonably achievable, without jeopardizing the benefit of the RADIOLOGICAL procedure. Particular standards may specify their appropriate values and/or measures for general requirements specified in this collateral standard. The implementation of the general requirements or the reference to the particular standard instead, shall be justified in the RISK MANAGEMENT process.

This collateral standard considers RADIATION PROTECTION aspects related to X-RADIATION only.

Requirements for the control of the electrical energy used to generate X-RADIATION, which is also an important aspect of RADIATION PROTECTION, are included in IEC 60601-1 and in particular standards for the safety and ESSENTIAL PERFORMANCE of the EQUIPMENT concerned.

### **1.3 Related standards**

#### **1.3.1 IEC 60601-1**

For ME EQUIPMENT and ME SYSTEMS, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- "the general standard" designates IEC 60601-1 alone;
- "this collateral standard" designates IEC 60601-1-3 alone;
- "this standard" designates the combination of the general standard and this collateral standard.

#### **1.3.2 Particular standards**

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-4: General requirements for safety – Collateral standard: Programmable  
electrical medical systems**

**Appareils électromédicaux –  
Partie 1-4: Règles générales de sécurité – Norme collatérale: Systèmes  
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# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-4: General requirements for safety – Collateral standard: Programmable  
electrical medical systems**

**Appareils électromédicaux –  
Partie 1-4: Règles générales de sécurité – Norme collatérale: Systèmes  
électromédicaux programmables**

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INTERNATIONALE

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

**MEDICAL ELECTRICAL EQUIPMENT –****Part 1-4: General requirements for safety –  
Collateral Standard:  
Programmable electrical medical systems**

## FOREWORD

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- 2) The formal decisions or agreements of the IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested National Committees.
- 3) The documents produced have the form of recommendations for international use and are published in the form of standards, technical specifications, technical reports or guides and they are accepted by the National Committees in that sense.
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International Standard IEC 60601-1-4 has been prepared by IEC technical committee 62: Electrical equipment in medical practice. It constitutes a Collateral Standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety*, hereinafter referred to as the General Standard.

In the IEC 60601 series of publications, Collateral Standards specify general requirements for safety applicable to:

- a group of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment);
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the General Standard (e.g. electromagnetic compatibility).

This consolidated version of Collateral Standard IEC 60601-1-4 consists of the first edition (1996) [documents 62/83/FDIS and 62/87/RVD] and its amendment 1 (1999) [documents 62/114/FDIS and 62/120/RVD].

The technical content is therefore identical to the base edition and its amendment and has been prepared for user convenience.

It bears the edition number 1.1.

A vertical line in the margin shows where the base publication has been modified by amendment 1.

The numbering of sections, clauses and subclauses of this Collateral Standard corresponds with that of the General Standard.

Subclauses and figures which are additional to those of the General Standard are numbered starting from 201; additional annexes are lettered AAA, BBB, etc., and additional items aaa), bbb), etc.

Annex AAA forms an integral part of this Collateral Standard.

Annexes BBB, CCC, DDD, EEE and FFF are for information only.

In this Collateral Standard, the following print types are used:

- requirements, compliance with which can be tested, and definitions: roman type;
- explanations, advice, general statements, exceptions and references: smaller type;
- *test specifications and headings of subclauses: italic type;*
- TERMS DEFINED IN CLAUSE 2 OF THE GENERAL STANDARD OR OF IEC 60601-1-1 OR OF THIS COLLATERAL STANDARD OR IN IEC 60788: SMALL CAPITALS.

The requirements are followed by specifications for the relevant tests.

## INTRODUCTION

Computers are increasingly used in MEDICAL ELECTRICAL EQUIPMENT, often in critical-safety roles. The use of computing technologies in MEDICAL ELECTRICAL EQUIPMENT introduces a level of complexity which is exceeded only by the biological systems of the PATIENTS the MEDICAL ELECTRICAL EQUIPMENT is intended to diagnose and/or treat. This complexity means that systematic failures can escape practical accepted limits of testing. Accordingly, this safety standard goes beyond traditional testing and assessment of the finished MEDICAL ELECTRICAL EQUIPMENT and includes requirements for the processes by which the MEDICAL ELECTRICAL EQUIPMENT is developed. Testing of the finished product is not, by itself, adequate to address the SAFETY of complex MEDICAL ELECTRICAL EQUIPMENT.

This standard is a Collateral Standard to the General Standard. It requires that a process be followed and that a record of that process be produced to support the SAFETY of MEDICAL ELECTRICAL EQUIPMENT incorporating PROGRAMMABLE ELECTRONIC SUBSYSTEMS. The concepts of RISK management and a DEVELOPMENT LIFE-CYCLE that are the basis of this standard can also be of value in the development of MEDICAL ELECTRICAL EQUIPMENT that does not include a PROGRAMMABLE ELECTRONIC SUBSYSTEM.

The effective application of the standard will require, subject to the task in hand, competency in the following:

- application of the specific MEDICAL ELECTRICAL EQUIPMENT with emphasis on SAFETY considerations;
- MEDICAL ELECTRICAL EQUIPMENT development process;
- methods by which SAFETY is assured;
- techniques of RISK analysis and RISK control.

**MEDICAL ELECTRICAL EQUIPMENT –**  
**Part 1-4: General requirements for safety –**  
**Collateral Standard:**  
**Programmable electrical medical systems**

SECTION 1: GENERAL

## **1 Scope, object and relationship to other standards**

### **1.201 Scope**

This Collateral Standard applies to the SAFETY of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS incorporating PROGRAMMABLE ELECTRONIC SUBSYSTEMS (PESS), hereinafter referred to as PROGRAMMABLE ELECTRICAL MEDICAL SYSTEMS (PEMS).

NOTE Some systems which incorporate software and are used for medical purposes fall outside the scope of this Collateral Standard, e.g. many medical informatics systems. The distinguishing factor/criterion is whether or not the system satisfies the definition of MEDICAL ELECTRICAL EQUIPMENT in 2.2.15 of IEC 60601-1 or the definition of MEDICAL ELECTRICAL SYSTEM in 2.203 of IEC 60601-1-1.

### **1.202 Object**

This Collateral Standard specifies requirements for the process by which a PEMS is designed. This Collateral Standard also serves as the basis of requirements of Particular Standards, including serving as a guide to SAFETY requirements for the purpose of reducing and managing RISK. This Collateral Standard is addressed to:

- a) certification bodies;
- b) MANUFACTURERS;
- c) writers of Particular Standards.

This standard covers:

- d) requirement specification;
- e) architecture;
- f) detailed design and implementation including software development;
- g) modification;
- h) VERIFICATION and VALIDATION;
- j) marking and ACCOMPANYING DOCUMENTS.

Aspects not covered by this standard include:

- k) hardware manufacturing;
- l) software replication;
- m) installation and commissioning;
- n) operation and maintenance;
- o) decommissioning.

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-6: General requirements for basic safety and essential performance –  
Collateral standard: Usability**

**Appareils électromédicaux –  
Partie 1-6: Exigences générales pour la sécurité de base et les performances  
essentielles – Norme collatérale: Aptitude à l'utilisation**





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IEC 60601-1-6

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# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-6: General requirements for basic safety and essential performance –  
Collateral standard: Usability**

**Appareils électromédicaux –  
Partie 1-6: Exigences générales pour la sécurité de base et les performances  
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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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Collateral standard: Usability**

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International Standard IEC 60601-1-6 has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This third edition constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance* hereafter referred to as the general standard.

This document cancels and replaces the second edition of IEC 60601-1-6 which has been technically revised. To allow for equipment manufacturers and testing organizations to make products and to equip themselves for conducting revised tests in accordance with this third edition, it is recommended by SC 62A that the content of this document not be adopted for mandatory implementation earlier than 3 years from the date of publication for equipment newly designed and not earlier than 5 years from the date of publication for equipment already in production.

This edition of IEC 60601-1-6 was revised to align with the USABILITY ENGINEERING PROCESS in IEC 62366.

The text of this standard is based on the following documents:

FDIS	Report on voting
62A/682/FDIS	62A/689/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the IEC 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. alarm systems).

In this collateral standard, the following print types are used:

- Requirements and definitions: roman type.
- *Test specifications or instructions to modify requirements in IEC 62366: italic type.*
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 4 includes subclauses 4.1, 4.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 4.1 and 4.2 are all subclauses of Clause 4).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

To assist the user of this collateral standard in migrating from IEC 60601-1-6:2006 to IEC 62366:2007, Table B.1 has been developed. This table maps the clauses and subclause of IEC 60601-1-6:2006 to the comparable clauses and subclauses in IEC 62366:2007. To further assist the user of this collateral standard, Table C.1 relates certain elements of IEC 62366 to other standards, such as parts of the ISO 9241 series, which might be useful in meeting the requirements of IEC 62366.

A list of all parts of the IEC 60601 series, under the general title: *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

## INTRODUCTION

Medical practice is increasingly using MEDICAL ELECTRICAL EQUIPMENT for observation and treatment of PATIENTS. USE ERRORS caused by inadequate MEDICAL ELECTRICAL EQUIPMENT USABILITY have become an increasing cause for concern. Much of ME EQUIPMENT developed without applying a USABILITY ENGINEERING PROCESS are non-intuitive, difficult to learn and to use. As healthcare evolves, less skilled OPERATORS including PATIENTS themselves are now using MEDICAL ELECTRICAL EQUIPMENT while the MEDICAL ELECTRICAL EQUIPMENT itself is becoming more complicated. In simpler times, the OPERATOR of the MEDICAL ELECTRICAL EQUIPMENT might be able to cope with an ambiguous, difficult-to-use OPERATOR-EQUIPMENT INTERFACE. The design of usable MEDICAL ELECTRICAL EQUIPMENT is a challenging endeavour. The design of the OPERATOR-EQUIPMENT INTERFACE to achieve adequate (safe) USABILITY requires a very different skill set than that of the technical implementation of that interface.

The USABILITY ENGINEERING PROCESS is intended to achieve reasonable USABILITY, which in turn is intended to minimise USE ERRORS and to minimise use-associated RISKS. Some, but not all, forms of incorrect use are amenable to be controlled by the MANUFACTURER. The relationship of the USABILITY ENGINEERING PROCESS to the RISK MANAGEMENT PROCESS is described in Figure A.1 of IEC 62366:2007.

The first and second editions of this collateral standard described a USABILITY ENGINEERING PROCESS that was tailored to the needs of MANUFACTURERS of MEDICAL ELECTRICAL EQUIPMENT. They provided guidance on how to implement and execute the PROCESS to improve the safety of MEDICAL ELECTRICAL EQUIPMENT.

Subclause 1.3 of IEC 60601-1:2005 states that, “Applicable collateral standards become normative at the date of their publication and shall apply together with this standard.” Consequently, the second edition of this collateral standard was developed specifically to align with IEC 60601-1:2005 and published in 2006. All other relevant collateral standards within the jurisdiction of IEC Subcommittee 62A also were updated and republished between 2006 and 2007 except for IEC 60601-1-1 and IEC 60601-1-4. These collateral standards were not revised because their requirements were integrated into IEC 60601-1:2005.

After the second edition of this collateral standard was published, IEC Subcommittee 62A, in partnership with ISO Technical Committee 210, developed and published a general usability engineering standard applicable to all MEDICAL DEVICES—IEC 62366:2007. IEC 62366 is based on IEC 60601-1-6, but was refined using the experience gained with applying the first edition of IEC 60601-1-6. Although the processes described in IEC 60601-1-6:2006 and IEC 62366:2007 are very similar, they are not identical.

At its Auckland meeting in 2008, IEC Technical Committee 62 approved a project to revise IEC 60601-1-6 so that it would reduce or eliminate duplication with IEC 62366 and also create a bridge between IEC 60601-1 and IEC 62366. This third edition of IEC 60601-1-6 creates that bridge and will enable a MANUFACTURER to conform to the requirements in IEC 60601-1:2005 that make normative reference to IEC 60601-1-6 by employing a USABILITY ENGINEERING PROCESS complying with IEC 62366:2007. At a point in the future, that bridge can be eliminated by revising or amending IEC 60601-1 to include a direct reference to IEC 62366 and, as necessary, adding any additional requirements that are specific to medical electrical equipment, such as those contained in Clauses 4 and 5 of this collateral standard, to IEC 60601-1 or as a normative annex to IEC 62366.

This collateral standard is intended to be useful not only for MANUFACTURER(S) of MEDICAL ELECTRICAL EQUIPMENT, but also for technical committees responsible for the preparation of particular MEDICAL ELECTRICAL EQUIPMENT standards. It should be noted that clinical investigations conducted according to ISO 14155-1 and usability testing for verification or validation according to this standard are two fundamentally different activities and should not be confused.

## MEDICAL ELECTRICAL EQUIPMENT –

### Part 1-6: General requirements for basic safety and essential performance – Collateral standard: Usability

## 1 Scope, object and related standards

### 1.1 \* Scope

This International Standard specifies a PROCESS for a MANUFACTURER to analyse, specify, design, VERIFY and VALIDATE USABILITY, as it relates to BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT, hereafter referred to as ME EQUIPMENT.

This USABILITY ENGINEERING PROCESS assesses and mitigates RISKS caused by USABILITY problems associated with CORRECT USE and USE ERRORS, i.e., NORMAL USE. It can be used to identify but does not assess or mitigate RISKS associated with ABNORMAL USE.

If the USABILITY ENGINEERING PROCESS detailed in this collateral standard has been complied with and the acceptance criteria documented in the USABILITY VALIDATION plan have been met (see 5.9 of IEC 62366:2007), then the RESIDUAL RISKS, as defined in ISO 14971, associated with USABILITY of ME EQUIPMENT are presumed to be acceptable, unless there is OBJECTIVE EVIDENCE to the contrary (see 4.1.2 of IEC 62366:2007).

### 1.2 Object

The object of this collateral standard is to specify general requirements that are in addition to those of the general standard and to serve as the basis for particular standards.

### 1.3 Related standards

#### 1.3.1 IEC 60601-1

For ME EQUIPMENT, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- "the general standard" designates IEC 60601-1 alone;
- "this collateral standard" designates IEC 60601-1-6 alone;
- "this standard" designates the combination of the general standard and this collateral standard.

#### 1.3.2 Particular standards

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.

## 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.



# INTERNATIONAL STANDARD

## NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-8: General requirements for basic safety and essential performance –  
Collateral standard: General requirements, tests and guidance for alarm systems  
in medical electrical equipment and medical electrical systems**

**Appareils électromédicaux –  
Partie 1-8: Exigences générales pour la sécurité de base et les performances  
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IEC 60601-1-8

Edition 2.0 2006-10

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE

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**Medical electrical equipment –  
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Collateral standard: General requirements, tests and guidance for alarm  
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# INTERNATIONAL ELECTROTECHNICAL COMMISSION

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## MEDICAL ELECTRICAL EQUIPMENT –

### Part 1-8: General requirements for basic safety and essential performance –

### Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems

## FOREWORD

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International standard IEC 60601-1-8 has been prepared by IEC subcommittee 62A: Common aspects of electrical equipment used in medical practice of IEC technical committee 62: Electrical equipment in medical practice, and ISO subcommittee SC 3: Lung ventilators and related devices of ISO technical committee 121: Anaesthetic and respiratory equipment.

It is published as double logo standard.

IEC 60601-1-8 constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety and essential performance* hereafter referred to as the general standard.

This second edition cancels and replaces the first edition of IEC 60601-1-8, published in 2003, of which it constitutes a technical revision.

This edition of IEC 60601-1-8 was revised to structurally align it with the 2005 edition of IEC 60601-1 and to implement the decision of IEC Subcommittee 62 A that the clause numbering structure of collateral standards written to IEC 60601-1:2005 would adhere to the form specified in ISO/IEC Directives, Part 2:2004. The principle technical changes are in Clause 4, which now recognizes that there is a general requirement for a risk management process in IEC 60601-1:2005.

The text of this collateral standard is based on the following documents:

CDV	Report on voting
62A/519/CDV	62A/537A/RVC

Full information on the voting for the approval of this collateral standard can be found in the report on voting indicated in the above table. In ISO, the standard has been approved by 18 P-members out of 18 having cast a vote.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. ALARM SYSTEMS).

In this collateral standard, the following print types are used:

- Requirements and definitions: roman type.
- *Test specifications: italic type. In addition, in Annex A text in italics indicates guidance that describes means to achieve the safety objectives of this collateral standard.*
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 6 includes Subclauses 6.1, 6.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 6.1, 6.2 and 6.3.1 are all subclauses of Clause 6).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

A list of all parts of the IEC 60601 series, under the general title: *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this collateral standard will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended



## INTRODUCTION

MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS are increasingly used in medical practice. ALARM SIGNALS are frequently used to indicate unsatisfactory physiological PATIENT states, unsatisfactory functional states of the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM or to warn the OPERATOR of HAZARDS to the PATIENT or OPERATOR due to the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM. INFORMATION SIGNALS convey information that is independent of an ALARM CONDITION.

Surveys of healthcare personnel have indicated significant discontent with ALARM SIGNALS. Problems include difficulty in identifying the source of an ALARM SIGNAL, loud and distracting ALARM SIGNALS, and the high incidence of FALSE POSITIVE or NEGATIVE ALARM CONDITIONS [16]<sup>1)</sup>. Surveys of MANUFACTURERS of medical monitors demonstrated a wide variety of DEFAULT ALARM PRESETS. The leading reason for disabling ALARM SIGNALS is the large number of ALARM SIGNALS associated with FALSE POSITIVE ALARM CONDITIONS. See also bibliography.

Safety of PATIENTS depends on the ability of the OPERATOR to correctly discern the characteristics of ALARM SIGNALS. USABILITY is an important element in the design of ALARM SIGNALS that are readily discernible without being unnecessarily distracting or disturbing. This approach is intended to rationalize the current situation, to reduce confusion by limiting proliferation of ALARM SIGNALS and their control states, and to minimize distraction for other people. This collateral standard was developed with contributions from clinicians, engineers and applied psychologists.

The terminology, requirements, general recommendations and guidance of this collateral standard are intended to be useful for MANUFACTURERS of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS and for technical committees responsible for particular standards.

The effectiveness of any ALARM SYSTEM depends critically on its implementation by the RESPONSIBLE ORGANIZATION. It is important that the RESPONSIBLE ORGANIZATION configure the ALARM SYSTEM so that an OPERATOR is not able to compromise it.

---

1) Figures in brackets refer to the bibliography.

## **MEDICAL ELECTRICAL EQUIPMENT –**

### **Part 1-8: General requirements for basic safety and essential performance –**

### **Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems**

## **1 \* Scope, object and related standards**

### **1.1 Scope**

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

This collateral standard specifies requirements for ALARM SYSTEMS and ALARM SIGNALS in ME EQUIPMENT and ME SYSTEMS.

It also provides guidance for the application of ALARM SYSTEMS.

### **1.2 Object**

The object of this collateral standard is to specify basic safety and essential performance requirements and tests for ALARM SYSTEMS in ME EQUIPMENT and ME SYSTEMS and to provide guidance for their application. This is accomplished by defining alarm categories (priorities) by degree of urgency, consistent ALARM SIGNALS and consistent control states and their marking for all ALARM SYSTEMS.

This collateral standard does not specify:

- whether any particular ME EQUIPMENT or ME SYSTEM is required to be provided with ALARM SYSTEMS;
- the particular circumstances which initiate an ALARM CONDITION;
- the allocation of priorities to a particular ALARM CONDITION; or
- the means of generating ALARM SIGNALS.

### **1.3 Related standards**

#### **1.3.1 IEC 60601-1**

For ME EQUIPMENT and ME SYSTEMS, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**MEDICAL ELECTRICAL EQUIPMENT –****Part 1-9: General requirements for basic safety  
and essential performance –  
Collateral Standard:  
Requirements for environmentally conscious design**

## FOREWORD

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International standard IEC 60601-1-9 has been prepared by IEC subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This first edition constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety and essential performance* hereafter referred to as the general standard.

The text of this standard is based on the following documents:

FDIS	Report on voting
62A/571/FDIS	62A/575/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. alarm systems).

In this collateral standard, the following print types are used:

- Requirements and definitions: roman type.
- *Test specifications: italic type.*
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the four numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 4 includes subclauses 4.1, 4.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 4.1, 4.5 and 4.5.1 are all subclauses of Clause 4).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

A list of all parts of the IEC 60601 series, published under the general title *Medical electrical equipment*, can be found on the IEC website.



The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

## INTRODUCTION

The objective of this collateral standard is to improve the ENVIRONMENTAL IMPACT for the entire range of MEDICAL ELECTRICAL EQUIPMENT, taking into account all stages of the product LIFE CYCLE:

- product specification;
- design;
- manufacturing;
- sales, logistics, installation;
- use;
- END OF LIFE management.

This means protecting the ENVIRONMENT and human health from HAZARDOUS SUBSTANCES, conserving raw materials and energy, minimizing the generation of WASTE, as well as minimizing the adverse ENVIRONMENTAL IMPACTS associated with WASTE. The criteria needed to reach this goal must be integrated into all stages of the MEDICAL ELECTRICAL EQUIPMENT LIFE CYCLE from the specification stage to END OF LIFE management.

The ENVIRONMENTAL IMPACTS of ME EQUIPMENT through all LIFE-CYCLE stages are determined from the MEDICAL ELECTRICAL EQUIPMENT'S ENVIRONMENTAL ASPECTS defined during the identification of need, product planning, and design stages (see Table A.1). Consideration of ENVIRONMENTAL ASPECTS as early as possible in these stages can produce numerous benefits that might include lower costs, stimulation of innovation and creativity, and increased knowledge about the product. It can also provide new business opportunities, and improved product quality as well as reduction of adverse ENVIRONMENTAL IMPACTS. The assessment of the ENVIRONMENTAL ASPECTS and IMPACTS of MEDICAL ELECTRICAL EQUIPMENT is a developing science and it is anticipated that this collateral standard will require periodic updating as the science develops.

The requirements given in this collateral standard do not replace national or international laws and regulations.

Environmental protection is one element of the overall RISK MANAGEMENT PROCESS as required by the general standard.

The acceptability of MEDICAL ELECTRICAL EQUIPMENT'S ENVIRONMENTAL IMPACTS are balanced against other factors, such as the product's intended function, performance, safety, cost, marketability, quality, legal and regulatory requirements. This balance can differ depending on the intended function of the MEDICAL ELECTRICAL EQUIPMENT. For example, a solution appropriate for life-saving or life-supporting MEDICAL ELECTRICAL EQUIPMENT might not be appropriate for a device intended to correct a minor ailment. A MANUFACTURER of MEDICAL ELECTRICAL EQUIPMENT might have to justify, as a result of RISK MANAGEMENT, that a medical benefit outweighs the associated adverse ENVIRONMENTAL IMPACTS.

**MEDICAL ELECTRICAL EQUIPMENT –**  
**Part 1-9: General requirements for basic safety**  
**and essential performance –**  
**Collateral Standard:**  
**Requirements for environmentally conscious design**

## **1 Scope, object and related standards**

### **1.1 \* Scope**

This International Standard applies to the reduction of adverse ENVIRONMENTAL IMPACTS of MEDICAL ELECTRICAL EQUIPMENT, hereafter referred to as ME EQUIPMENT.

MEDICAL ELECTRICAL SYSTEMS are excluded from the scope of this collateral standard.

### **1.2 Object**

The object of this collateral standard is to specify general requirements, in addition to those of the general standard, for the reduction of the adverse ENVIRONMENTAL IMPACT of ME EQUIPMENT, and to serve as the basis for particular standards.

### **1.3 Related standards**

#### **1.3.1 IEC 60601-1**

For ME EQUIPMENT, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- "the general standard" designates IEC 60601-1 alone;
- "this collateral standard" designates IEC 60601-1-9 alone;
- "this standard" designates the combination of the general standard and this collateral standard.

#### **1.3.2 Particular standards**

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.

#### **1.3.3 Environmental standards**

This standard takes into account the ISO 14000 series of environmental standards with particular emphasis on ISO 14062 [8]<sup>1)</sup>.

## **2 Normative references**

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

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<sup>1)</sup> Figures in square brackets refer to the Bibliography.

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

**Medical electrical equipment –  
Part 1-10: General requirements for basic safety and essential performance –  
Collateral Standard: Requirements for the development of physiologic  
closed-loop controllers**

**Appareils électromédicaux –  
Partie 1-10: Exigences générales pour la sécurité de base et les performances  
essentiels – Norme collatérale: Exigences pour le développement des  
régulateurs physiologiques en boucle fermée**



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# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-10: General requirements for basic safety and essential performance –  
Collateral Standard: Requirements for the development of physiologic  
closed-loop controllers**

**Appareils électromédicaux –  
Partie 1-10: Exigences générales pour la sécurité de base et les performances  
essentiels – Norme collatérale: Exigences pour le développement des  
régulateurs physiologiques en boucle fermée**

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**MEDICAL ELECTRICAL EQUIPMENT –****Part 1-10: General requirements for basic safety  
and essential performance –  
Collateral Standard:  
Requirements for the development of  
physiologic closed-loop controllers**

## FOREWORD

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It is published as double logo standard.

This first edition constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety and essential performance* hereafter referred to as the general standard.

The text of this collateral standard is based on the following documents:

FDIS	Report on voting
62A/576/FDIS	62A/585/RVD

Full information on the voting for the approval of this collateral standard can be found in the report on voting indicated in the above table. In ISO, the standard has been approved by 18 P-members out of 19 having cast a vote.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. ALARM SYSTEMS).

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- requirements and definitions: roman type.
- *test specifications: italic type.*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the eight numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 8 includes Subclauses 8.1, 8.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 8.1, 8.2 and 8.2.1 are all subclauses of Clause 8).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

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- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

A list of all parts of the IEC 60601 series, published under the general title: *Medical electrical equipment*, can be found on the IEC website.

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- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended

## INTRODUCTION

The use of PHYSIOLOGIC CLOSED-LOOP CONTROLLERS in ME EQUIPMENT and ME SYSTEMS are expected to provide a successful strategy to improve PATIENT safety and reduce healthcare costs [9][10][11][12][13] <sup>1)</sup>. New RISKS that are not directly addressed by previous standards are emerging in the development of this equipment. MANUFACTURERS employ a variety of methods to validate the safety and integrity of control systems with varying degrees of success. Classical methods of software VALIDATION for PHYSIOLOGIC CLOSED-LOOP CONTROLLERS can be insufficient to ensure performance with acceptable RISKS under all clinical and physiologic conditions.

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1) Figures in square brackets refer to the Bibliography.

## MEDICAL ELECTRICAL EQUIPMENT –

### Part 1-10: General requirements for basic safety and essential performance – Collateral Standard: Requirements for the development of physiologic closed-loop controllers

## 1 Scope, object and related standards

### 1.1 \* Scope

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

This collateral standard specifies requirements for the development (analysis, design, VERIFICATION and VALIDATION) of a PHYSIOLOGIC CLOSED-LOOP CONTROLLER (PCLC) as part of a PHYSIOLOGIC CLOSED-LOOP CONTROL SYSTEM (PCLCS) in ME EQUIPMENT and ME SYSTEMS to control a PHYSIOLOGIC VARIABLE.

NOTE A PHYSIOLOGIC VARIABLE can be a body chemistry (e.g. electrolytes, blood glucose), a physical property (e.g. PATIENT temperature, electrophysiologic, hemodynamic), or a pharmaceutical concentration.

This collateral standard applies to various types of PCLC, e.g. linear and non-linear, adaptive, fuzzy, neural networks.

This collateral standard does not specify:

- additional mechanical requirements; or
- additional electrical requirements.

This collateral standard applies to a closed-loop controller (see Figure 1) that sets the CONTROLLER OUTPUT VARIABLE in order to adjust (i.e., change or maintain) the measured PHYSIOLOGIC VARIABLE by relating it to the REFERENCE VARIABLE.

A closed-loop controller that maintains a physical or chemical VARIABLE, using feedback that is not measured from a PATIENT, is outside the scope of this standard.

### 1.2 Object

The object of this collateral standard is to specify general requirements that are in addition to those of the general standard and to serve as the basis for particular standards.

### 1.3 Related standards

#### 1.3.1 IEC 60601-1

For ME EQUIPMENT and ME SYSTEMS, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- "the general standard" designates IEC 60601-1 alone;
- "this collateral standard" designates IEC 60601-1-10 alone;

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE

**Medical electrical equipment –  
Part 1-11: General requirements for basic safety and essential performance –  
Collateral Standard: Requirements for medical electrical equipment and medical  
electrical systems used in the home healthcare environment**

**Appareils électromédicaux –  
Partie 1-11: Exigences générales pour la sécurité de base et les performances  
essentielle – Norme Collatérale: Exigences pour les appareils électromédicaux  
et les systèmes électromédicaux utilisés dans l'environnement des soins à  
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IEC 60601-1-11

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# INTERNATIONAL STANDARD

## NORME INTERNATIONALE

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**Medical electrical equipment –  
Part 1-11: General requirements for basic safety and essential performance –  
Collateral Standard: Requirements for medical electrical equipment and  
medical electrical systems used in the home healthcare environment**

**Appareils électromédicaux –  
Partie 1-11: Exigences générales pour la sécurité de base et les performances  
essentiels – Norme Collatérale: Exigences pour les appareils électromédicaux  
et les systèmes électromédicaux utilisés dans l'environnement des soins à  
domicile**

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**MEDICAL ELECTRICAL EQUIPMENT –****Part 1-11: General requirements for basic safety  
and essential performance –  
Collateral Standard:  
Requirements for medical electrical equipment  
and medical electrical systems used  
in the home healthcare environment**

## FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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International standard IEC 60601-1-11 has been prepared by a joint working group of IEC subcommittee 62A: *Common aspects of electrical equipment used in medical practice* of IEC technical committee 62: *Electrical equipment in medical practice* and ISO subcommittee SC3: *Lung ventilators and related devices*, of ISO technical committee 121: *Anaesthetic and respiratory equipment*.

It is published as a double logo standard.

This first edition constitutes a collateral standard to IEC 60601-1:2005 (third edition): *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance* hereafter referred to as the general standard.

The text of this collateral standard is based on the following documents of IEC:

FDIS	Report on voting
62A/693/FDIS	62A/696/RVD

Full information on the voting for the approval of this collateral standard can be found in the report on voting indicated in the above table. In ISO, the standard has been approved by 17 P-members out of 17 having cast a vote.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. ALARM SYSTEMS).

In this collateral standard, the following print types are used:

- requirements and definitions: roman type.
- *test specifications: italic type.*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this collateral standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (\*).

A list of all parts of the IEC 60601 series, published under the general title: *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this amendment and the base publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

reconfirmed;  
withdrawn;  
replaced by a revised edition, or  
amended

NOTE The attention of Member Bodies and National Committees is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised ISO or IEC publication in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committee that the content of this publication be adopted for implementation nationally not earlier than 3 years from the date of publication.

The contents of the corrigendum of April 2011 have been included in this copy.

## INTRODUCTION

Medical practice is increasingly using MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS for monitoring, treatment or diagnosis of PATIENTS in the HOME HEALTHCARE ENVIRONMENT (see 3.2). The safety of MEDICAL ELECTRICAL EQUIPMENT in this uncontrolled environment with regard to the electrical installation and its related safety and protection means is a cause for concern.

The potential lack of training of the LAY OPERATOR and possibly of those supervising the use of the MEDICAL ELECTRICAL EQUIPMENT or MEDICAL ELECTRICAL SYSTEM and their level of education need to be addressed in the development of the ACCOMPANYING DOCUMENTS and in the relevant marking on the equipment itself so that this material can be understood. This collateral standard gives special guidance on how this should be addressed in the instructions for use.

This collateral standard was developed with contributions from clinicians, engineers and regulators. The terminology, requirements, general recommendations and guidance of this collateral standard are intended to be useful for MANUFACTURERS of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS and for technical committees responsible for the development of particular standards.

## **MEDICAL ELECTRICAL EQUIPMENT –**

### **Part 1-11: General requirements for basic safety and essential performance –**

#### **Collateral Standard:**

### **Requirements for medical electrical equipment and medical electrical systems used in the home healthcare environment**

## **1 Scope, object and related standards**

### **1.1 \* Scope**

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS, which are intended by their MANUFACTURER for use in the HOME HEALTHCARE ENVIRONMENT, as defined in 3.2, regardless of whether the ME EQUIPMENT or ME SYSTEM is intended for use by a LAY OPERATOR or by trained healthcare personnel.

NOTE 1 HOME HEALTHCARE ENVIRONMENT ME EQUIPMENT and ME SYSTEMS can also be intended for use in other environments, for example, in a professional healthcare facility.

This International Standard does not apply to ME EQUIPMENT and ME SYSTEMS intended solely for use by emergency medical services or solely for use in professional healthcare facilities.

NOTE 2 HOME HEALTHCARE ENVIRONMENT ME EQUIPMENT and ME SYSTEMS can frequently be used in locations with unreliable electrical sources and poor electrical grounding.

### **1.2 Object**

The object of this collateral standard is to specify general requirements that are in addition to those of the general standard and to serve as the basis for particular standards.

### **1.3 Related standards**

#### **1.3.1 IEC 60601-1**

For ME EQUIPMENT and ME SYSTEMS, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- "the general standard" designates IEC 60601-1 alone;
- "this collateral standard" designates IEC 60601-1-11 alone;
- "this standard" designates the combination of the general standard and this collateral standard.

#### **1.3.2 Particular standards**

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.