ETSI TS 133 401 V13.2.0 (2016-04)

Digital cellular telecommunications system (Phase 2+) (GSM);
Universal Mobile Telecommunications System (UMTS);
LTE;
3GPP System Architecture Evolution (SAE);
Security architecture
(3GPP TS 33.401 version 13.2.0 Release 13)

Reference
RTS/TSGS-0333401vd20

Keywords
GSM,LTE,SECURITY,UMTS

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document specifies the security architecture, i.e., the security features and the security mechanisms for the Evolved Packet System and the Evolved Packet Core, and the security procedures performed within the evolved Packet System (EPS) including the Evolved Packet Core (EPC) and the Evolved UTRAN (E-UTRAN).

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*

[1]3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".

[3] 3GPP TS 23.003: "Numbering, addressing and identification".

[4] 3GPP TS 33.102: "3G security; Security architecture".

[5] 3GPP TS 33.210: "3G security; Network Domain Security (NDS); IP network layer security".

[6] 3GPP TS 33.310: "Network Domain Security (NDS); Authentication Framework (AF)".

[7] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)".

[8] 3GPP TS 33.220: "Generic Authentication Architecture (GAA); Generic bootstrapping architecture".

[9] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[10] – [11] Void.

[12] 3GPP TS 36.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) specification"

[13] 3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".

[14] 3GPP TS 35.215: "Confidentiality and Integrity Algorithms UEA2 & UIA2; Document 1: UEA2 and UIA2 specifications"

[15] NIST: "Advanced Encryption Standard (AES) (FIPS PUB 197) "

[16] NIST Special Publication 800-38A (2001): "Recommendation for Block Cipher Modes of Operation".

[17] NIST Special Publication 800-38B (2001): "Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication".

[18] – [20] Void.

[21] 3GPP TS 36.331:"Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC); Protocol specification".

[22] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".