

2nd Edition

POCT05

Performance Metrics for Continuous Interstitial Glucose Monitoring

This guideline provides consensus guidelines for health care professionals, *in vitro* diagnostic and medical device manufacturers, and regulatory agencies regarding the use of continuous glucose monitoring (CGM) systems and data obtained from CGM systems. This guideline covers how CGM data should be assessed for accuracy, how CGM systems should be assessed for factors that can decrease accuracy, and how CGMs should be operated for optimal performance.

A guideline for global application developed through the Clinical and Laboratory Standards Institute consensus process.

Clinical and Laboratory Standards Institute Setting the standard for quality in medical laboratory testing around the world.

The Clinical and Laboratory Standards Institute (CLSI) is a not-for-profit membership organization that brings together the varied perspectives and expertise of the worldwide laboratory community for the advancement of a common cause: to foster excellence in laboratory medicine by developing and implementing medical laboratory standards and guidelines that help laboratories fulfill their responsibilities with efficiency, effectiveness, and global applicability.

Consensus Process

Consensus-the substantial agreement by materially affected, competent, and interested parties-is core to the development of all CLSI documents. It does not always connote unanimous agreement but does mean that the participants in the development of a consensus document have considered and resolved all relevant objections and accept the resulting agreement.

Commenting on Documents

CLSI documents undergo periodic evaluation and modification to keep pace with advances in technologies, procedures, methods, and protocols affecting the laboratory or health care.

CLSI's consensus process depends on experts who volunteer to serve as contributing authors and/or as participants in the reviewing and commenting process. At the end of each comment period, the committee that developed the document is obligated to review all comments, respond in writing to all substantive comments, and revise the draft document as appropriate.

Comments on published CLSI documents are equally essential and may be submitted by anyone, at any time, on any document. All comments are managed according to the consensus process by a committee of experts.

Appeal Process

When it is believed that an objection has not been adequately considered and responded to, the process for appeal, documented in the CLSI Standards Development Policies and Processes, is followed.

All comments and responses submitted on draft and published documents are retained on file at CLSI and are available upon request.

Get Involved—Volunteer!

Do you use CLSI documents in your workplace? Do you see room for improvement? Would you like to get involved in the revision process? Or maybe you see a need to develop a new document for an emerging technology? CLSI wants to hear from you. We are always looking for volunteers. By donating your time and talents to improve the standards that affect your own work, you will play an active role in improving public health across the globe.

For additional information on committee participation or to submit comments, contact CLSI.

Clinical and Laboratory Standards Institute P: +1.610.688.0100 F: +1.610.688.0700 www.clsi.org standard@clsi.org

Performance Metrics for Continuous Interstitial Glucose Monitoring

David C. Klonoff, MD, FACP, FRCP (Edin), Fellow AIMBE James R. Petisce, PhD Timothy S. Bailey, MD, FACE, FACP, CPI Andrea Bell-Vlasov, PhD Marc Breton, PhD Andrew Dehennis, PhD

Rolf Hinzmann, MD, PhD David L. Horwitz, MD, PhD, FACP Shridhara Alva Karinka, PhD Neeta Sharma, MS Peter Simpson, MS Robert A. Vigersky, MD Yiduo Wu, PhD

Abstract

Clinical and Laboratory Standards Institute guideline POCT05–*Performance Metrics for Continuous Interstitial Glucose Monitoring* provides consensus information for health care professionals, *in vitro* diagnostic and medical device manufacturers, and regulatory agencies regarding how continuous glucose monitoring (CGM) data should be assessed for accuracy, how CGM systems should be assessed for factors that can decrease accuracy, and how CGMs should be operated for optimal performance. This guideline defines and explores multiple aspects of CGM performance, including use cases, point and trend accuracy, evaluation of threshold alerts, system stability and reliability, clinical studies for assessing CGM performance, calibration, measurement traceability, and special considerations such as shelf life, cybersecurity, and product labeling.

Clinical and Laboratory Standards Institute (CLSI). *Performance Metrics for Continuous Interstitial Glucose Monitoring*. 2nd ed. CLSI guideline POCT05 (ISBN 978-1-68440-100-0 [Print]; ISBN 978-1-68440-101-7 [Electronic]). Clinical and Laboratory Standards Institute, 2020.

The Clinical and Laboratory Standards Institute consensus process, which is the mechanism for moving a document through two or more levels of review by the health care community, is an ongoing process. Users should expect revised editions of any given document. Because rapid changes in technology may affect the procedures, methods, and protocols in a standard or guideline, users should replace outdated editions with the current editions of CLSI documents. Current editions are listed in the CLSI catalog and posted on our website at www.clsi.org.

If you or your organization is not a member and would like to become one, or to request a copy of the catalog, contact us at:

P: +1.610.688.0100 F: +1.610.688.0700 E: customerservice@clsi.org W: www.clsi.org



Copyright [©]2020 Clinical and Laboratory Standards Institute. Except as stated below, any reproduction of content from a CLSI copyrighted standard, guideline, derivative product, or other material requires express written consent from CLSI. All rights reserved. Interested parties may send permission requests to permissions@clsi.org.

CLSI hereby grants permission to each individual member or purchaser to make a single reproduction of this publication for use in its laboratory procedures manual at a single site. To request permission to use this publication in any other manner, e-mail permissions@clsi.org.

Suggested Citation

CLSI. *Performance Metrics for Continuous Interstitial Glucose Monitoring*. 2nd ed. CLSI guideline POCT05. Clinical and Laboratory Standards Institute, 2020.

Previous Editions: March 2008, December 2008

POCT05-Ed2 ISBN 978-1-68440-100-0 (Print) ISBN 978-1-68440-101-7 (Electronic) ISSN 1558-6502 (Print) ISSN 2162-2914 (Electronic)

Volume 40, Number 14

Committee Membership

Consensus Council

James R. Petisce, PhD Chairholder BD Diagnostic Systems USA

Mary Lou Gantzer, PhD, FACB Vice-Chairholder USA

Anne T. Daley, MS, MT(ASCP)DLM, CMQ/OE(ASQ)CSBB ARUP Laboratories USA

Avis Danishefsky, PhD FDA Center for Devices and Radiological Health USA Collette Fitzgerald, PhD Centers for Disease Control and Prevention USA

Loralie J. Langman, PhD, DABCC, FACB, F-ABFT Mayo Clinic USA

Michelle McLean, MS, MT(ASCP), BS Greiner Bio-One, Inc. USA

Tania Motschman, MS, MT(ASCP)SBB Laboratory Corporation of America USA M. Laura Parnas, PhD, DABCC Roche Diagnostics USA

Robert Rej, PhD New York State Department of Health - Wadsworth Center USA

Matthew A. Wikler, MD, FIDSA, MBA IDTD Consulting USA

Document Development Committee on Continuous Glucose Monitoring

David C. Klonoff, MD, FACP, FRCP (Edin), Fellow AIMBE Chairholder Mills-Peninsula Medical Center USA

James R. Petisce, PhD Vice-Chairholder BD Diagnostic Systems USA

Andrea Bell-Vlasov, PhD FDA Center for Devices and Radiological Health USA

Marc Breton, PhD University of Virginia Medical Center USA Kong Y. Chen, PhD, MSCI National Institutes of Health, Department of Laboratory Medicine USA

Andrew Dehennis, PhD Senseonics Incorporated USA

Rolf Hinzmann, MD, PhD Roche Diabetes Care GmbH Germany

David L. Horwitz, MD, PhD, FACP DLH Biomedical Consulting LLC USA Steve Scott, BSc Abbott Diabetes Care USA

Shinjiro Sekimoto ARKRAY, Inc. USA

Peter Simpson, MS Dexcom USA

Robert A. Vigersky, MD Medtronic Diabetes USA

Expert Panel on Point-of-Care Testing

Peggy A. Mann, MS, MT(ASCP) Chairholder The University of Texas Medical Branch USA

Ellis Jacobs, PhD, DABCC, FAACC Vice-Chairholder EJ Clinical Consulting, LLC USA

Yung W. Chan, MT(ASCP) FDA Center for Devices and Radiological Health USA

Yu Chen, MD, MSc, PhD, FAACC, FCACB, DABCC Horizon Health Network Canada

Staff

Clinical and Laboratory Standards Institute USA

David E. Sterry, MT(ASCP) Project Manager Uyen B. Chu, PhD Madigan Army Medical Center USA

Diane Davis, MT(ASCP)SH Instrumentation Laboratory USA

Gayle R. Deobald, Mayo Clinic USA

Corinne R. Fantz, PhD, DABCC Roche Diagnostics USA

Megan L. Tertel, MA, ELS Editorial Manager

Catherine E.M. Jenkins *Editor*

Roberta E. Hoenig, BS, MT Northwell Health USA

Michael Loeffelholz, PhD, D(ABMM) Cepheid USA

Ann E. Snyder, MT(ASCP) Centers for Medicare & Medicaid Services USA

Heather Stang, MS, MT Centers for Disease Control and Prevention USA

Kristy L. Leirer, MS Editor

Laura Martin *Editor*

Acknowledgment

CLSI, the Consensus Council, and the Document Development Committee on Continuous Glucose Monitoring gratefully acknowledge the following volunteers for their important contributions to the revision of this guideline:

Timothy S. Bailey, MD, FACE, FACP, Neeta Sharma, MS CPI Dexcom AMCR Institute Inc. USA Yiduo Wu, PhD FDA Center for Devices and Radiological Health USA

Shridhara Alva Karinka, PhD Abbott Diabetes Care USA

Contents

Abstract		i
Committee M	embershipii	ii
Foreword	vi	ii
Chapter 1:	Introduction	1
1.1 1.2 1.3 1.4	Scope Background Standard Precautions Terminology	1 5
Chapter 2:	Path of Workflow 1	5
Chapter 3:	Continuous Glucose Monitoring Use Cases	7
3.1 3.2	Users of Continuous Glucose Monitoring	
Chapter 4:	Establishing Measurement Traceability	
Chapter 5:	Protocol and Criteria for Evaluating Continuous Glucose Monitoring Device Point Accuracy	3
5.1 5.2	Agreement Rates	
5.3 5.4 5.5 5.6	Display Range24Concurrence to Reference Methods21Accuracy Relative to Reference Methods24Modified Bland-Altman Plot24Metrics for Individual Sensors24	5 6 8
Chapter 6:	Trend Accuracy 3	1
6.1 6.2 6.3 6.4 6.5 6.6	Introduction to Trend Accuracy3General Physiological Principles: Blood Glucose Fluctuation Rate3Results Presentation3Categorical Trend and Rate of Change Arrow Estimation3Concurrence Matrix3Clinical Significance of Trend Accuracy3	2 3 4 4
Chapter 7:	Threshold Alert and Alarm Evaluation	7
7.1 7.2 7.3	Device User Considerations.3Device Alert Situations.3Device User Alerts and Predictive Alerts Test Protocols3	8
Chapter 8:	System Stability and Reliability 4	1
8.1	Continuous Glucose Monitoring Sensor Function <i>In Vivo</i> : Instability Issues	1
8.2 8.3	Clinical Trial Required to Demonstrate Safe and Effective Function of Continuous Glucose Monitor Sensor Control Algorithm	

Contents (Continued)

8.4 8.5	Reliability Interfering Substances	
Chapter 9:	Clinical Studies	47
9.1 9.2 9.3 9.4 9.5	Glucose Reference Method Minimum System Accuracy Performance Study Design Architecture Evaluation Procedure Human Factors Validation Testing	48 48 49
Chapter 10:	Continuous Glucose Monitoring System Calibration	55
10.1 10.2	Factory Calibration User Calibration Updates	
Chapter 11:	Continuous Glucose Monitoring Device Cybersecurity	57
Chapter 12:	Continuous Glucose Monitoring Device Labeling	59
12.1 12.2 12.3	General Considerations Continuous Glucose Monitoring System Performance Labeling Interoperable Continuous Glucose Monitoring System Labeling	60
Chapter 13:	Conclusion	64
Chapter 14:	Supplemental Information	64
Refer	ences	65
The Q	uality Management System Approach	68
Related CLSI Reference Materials		69

Foreword

Continuous glucose monitoring (CGM) systems are medical devices that measure glucose in the interstitial fluid just under the skin and use algorithms to predict blood glucose values from the measurement. This guideline applies to such devices; however, similar concepts might be applicable to noninvasive or minimally invasive devices. This guideline covers how CGM data should be assessed for accuracy, how CGM systems should be assessed for factors that can decrease accuracy, and how CGM systems should be operated for optimal performance.

The CGM market is experiencing strong growth as accuracy, convenience, sensor duration, and data management capabilities improve and as patients, health care professionals, and payers see the benefits that these devices can provide in the management of glucose levels. Many clinical trials comparing CGM with other blood glucose monitoring methods have demonstrated decreases in mean glycemia, glycemic variability, and the incidence of hypoglycemia. Optimal CGM system performance, as well as practical data comparisons between sensors, can be obtained by following the technical specifications presented in this guideline.

NOTE: To facilitate the readability of this guideline, mg/dL is used as the unit of measure. This preference does not constitute a recommendation for mg/dL over mmol/L. If needed, the following formula can be used to convert mg/dL to mmol/L:

$$mmol/L = \frac{mg/dL}{18}$$
(1)

Overview of Changes

This guideline replaces the previous edition of the approved guideline, POCT05-A, published in 2008. Several changes were made in this edition, including:

- Extensively revising every chapter
- Adding new chapters that discuss:
 - CGM device use cases
 - Cybersecurity for CGM devices
 - CGM device labeling
- Rearranging subchapters and appendixes, including:
 - Changing stand-alone chapter on lag time to become part of Chapter 8
 - Including text describing establishing measurement traceability in Chapter 4
 - Replacing appendix on clinical studies with Chapter 9
 - Replacing appendix on rate deviation with Subchapters 6.3.1, 6.3.2, and 6.4
- Eliminating appendix covering continuous glucose-error grid analysis

NOTE: The content of this guideline is supported by the CLSI consensus process and does not necessarily reflect the views of any single individual or organization.

Key Words

Accuracy, bias, calibration, continuous, diabetes, glucose, lag, metrics, monitoring, sensor, stability, trueness

Performance Metrics for Continuous Interstitial Glucose Monitoring

Chapter 1: Introduction

This chapter includes:

- Guideline's scope and applicable exclusions
- Background information pertinent to the guideline's content
- Standard precautions information
- Terminology information, including:
 - Terms and definitions used in the guideline
 - Abbreviations and acronyms used in the guideline

1.1 Scope

This guideline provides recommendations for methods used to determine analytical and clinical metrics of continuous glucose monitoring (CGM) as an indicator of blood glucose values. It discusses use cases, point accuracy, trend accuracy, evaluation of threshold alerts, system stability and reliability, clinical studies for assessing CGM performance, calibration, traceability of measurement, cybersecurity, and device labeling.

The intended users of this guideline are *in vitro* diagnostic and medical device manufacturers, regulatory agencies, and health care professionals. This guideline is not intended for use by patients and does not discuss devices that do not meet the definitions of continuous, interstitial, and glucose monitoring.

1.2 Background

The use of self-monitoring of blood glucose (SMBG) devices or glucose meters has led to more normal glucose levels and lower risk of cardiovascular and long-term complications in both type 1 and type 2 diabetes. Patients typically use SMBG devices to test blood glucose levels several times a day to plan diet and/or exercise, to manage diabetes medications, including insulin dosages, and to correct abnormal blood glucose values. Although these devices are easier to use than in the past, many diabetes patients do not comply with SMBG testing at the frequency recommended by their physician because of the cost of testing supplies, the pain of repeated SMBG measurements, the environmental drawbacks of blood and sharps waste, and the overall inconvenience of monitoring.