Overhead Underhung and Stationary Hoists

Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings

AN AMERICAN NATIONAL STANDARD



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The American Society of Mechanical Engineers

Two Park Avenue • New York, NY • 10016 USA

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FOREWORD

This American National Standard, Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings, has been developed under the procedures accredited by the American National Standards Institute (ANSI). This Standard had its beginning in December 1916, when an eight-page Code of Safety Standards for Cranes, prepared by the American Society of Mechanical Engineers (ASME) Committee on the Protection of Industrial Workers, was presented at the annual meeting of ASME.

Meetings and discussions regarding safety on cranes, derricks, and hoists were held from 1920 to 1925 involving the ASME Safety Code Correlating Committee, the Association of Iron and Steel Electrical Engineers, the American Museum of Safety, the American Engineering Standards Committee (AESC) [later changed to American Standards Association (ASA), then to the United States of America Standards Institute (USASI), and finally to ANSI], Department of Labor — State of New Jersey, Department of Labor and Industry — State of Pennsylvania, and the Locomotive Crane Manufacturers Association. On June 11, 1925, the AESC approved the ASME Safety Code Correlating Committee's recommendation and authorized the project with the U.S. Department of the Navy, Bureau of Yards and Docks, and ASME as sponsors.

In March 1926, invitations were issued to 50 organizations to appoint representatives to a Sectional Committee. The call for organization of this Sectional Committee was sent out October 2, 1926, and the Committee was organized on November 4, 1926, with 57 members representing 29 national organizations.

Commencing June 1, 1927, and using the eight-page Code published by ASME in 1916 as a basis, the Sectional Committee developed the Safety Code for Cranes, Derricks, and Hoists. The early drafts of this safety code included requirements for jacks, but, due to inputs and comments on those drafts, the Sectional Committee decided in 1938 to make the requirements for jacks a separate code. In January 1943, ASA B30.2-1943 was published addressing a multitude of equipment types, and in August 1943, ASA B30.1-1943 was published addressing only jacks. Both documents were reaffirmed in 1952 and widely accepted as safety standards

Due to changes in design, advancement in techniques, and general interest of labor and industry in safety, the Sectional Committee, under the joint sponsorship of ASME and the Bureau of Yards and Docks (now the Naval Facilities Engineering Command), was reorganized on January 31, 1962, with 39 members representing 27 national organizations. The new Committee changed the format of ASA B30.2-1943 so that the multitude of equipment types it addressed could be published in separate volumes that could completely cover the construction, installation, inspection, testing, maintenance, and operation of each type of equipment that was included in the scope of ASA B30.2. This format change resulted in B30.3, B30.5, B30.6, B30.11, and B30.16 being designated as revisions of B30.2 with the remainder of the B30 volumes being published as totally new volumes. ASA changed its name to USASI in 1966 and to ANSI in 1969, which resulted in B30 volumes from 1943 to 1968 being designated as either ASA B30, USAS B30, or ANSI B30, depending on their date of publication.

In 1982, the Committee was reorganized as an Accredited Organization Committee, operating under procedures developed by ASME and accredited by ANSI. This Standard presents a coordinated set of rules that may serve as a guide to government and other regulatory bodies and municipal authorities responsible for the guarding and inspection of the equipment falling within its scope. The suggestions leading to accident prevention are given both as mandatory and advisory provisions; compliance with both types may be required by employers of their employees.

In case of practical difficulties, new developments, or unnecessary hardship, the administrative or regulatory authority may grant variances from the literal requirements or permit the use of other devices or methods but only when it is clearly evident that an equivalent degree of protection is thereby secured. To secure uniform application and interpretation of this Standard, administrative or regulatory authorities are urged to consult the B30 Committee, in accordance with the format described in Section IX of the Introduction, before rendering decisions on disputed points.

Safety codes and standards are intended to enhance public safety. Revisions result from committee consideration of factors such as technological advances, new data, and changing environmental and industry needs. Revisions do not imply that previous editions were inadequate.

The first edition of ASME B30.16 was published in 1973. New editions were published in 1981, 1987, 1993, 1998, 2003, 2007, 2012, and 2017. The 2012 edition of this Standard included a major revision to Chapter 16-2 and the addition of Chapter 16-4. The sections on maintenance of hoist components in Chapter 16-2 were moved to Chapter 16-4, along with other updates to the Standard. In the 2017 edition, revisions were made to reflect current technology and terminology. The 2017 edition addressed overhead underhung and stationary hoists and included new information regarding

personnel competence, inclusion of hoist duty service classification on labels of powered hoists, requirements for presentation of technical and safety-related information, pitch diameter requirements for sheaves and drums, a referenced standard for supporting structures, general inspection requirements, and responsibilities for riggers and signalpersons. This 2022 edition includes references to ASME B30.30; updates sections pertaining to inspections, overtravel protection, translation language, and load test; and adds the *angle of loading* definition.

This Volume of the Standard, which was approved by the B30 Committee and ASME, was approved by ANSI and designated an American National Standard on March 1, 2022.

ASME B30 COMMITTEE Safety Standard for Cableways, Cranes, Derricks, Hoists, Hooks, Jacks, and Slings

(The following is the roster of the Committee at the time of approval of this Standard.)

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B30 STANDARD INTRODUCTION

SECTION I: SCOPE

The ASME B30 Standard contains provisions that apply to the construction, installation, operation, inspection, testing, maintenance, and use of cranes and other lifting and material-movement-related equipment. For the convenience of the reader, the Standard has been divided into separate volumes. Each volume has been written under the direction of the ASME B30 Standards Committee and has successfully completed a consensus approval process under the general auspices of the American National Standards Institute (ANSI).

As of the date of issuance of this Volume, the B30 Standard comprises the following volumes:

- B30.1 Jacks, Industrial Rollers, Air Casters, and Hydraulic Gantries
- B30.2 Overhead and Gantry Cranes (Top Running Bridge, Single or Multiple Girder, Top Running Trolley Hoist)
- B30.3 Tower Cranes
- B30.4 Portal and Pedestal Cranes
- B30.5 Mobile and Locomotive Cranes
- B30.6 Derricks
- B30.7 Winches
- B30.8 Floating Cranes and Floating Derricks
- B30.9 Slings
- B30.10 Hooks
- B30.11 Monorails and Underhung Cranes (withdrawn 2018 — requirements found in latest revision of B30.17)
- B30.12 Handling Loads Suspended From Rotorcraft
- B30.13 Storage/Retrieval (S/R) Machines and Associated Equipment
- B30.14 Side Boom Tractors
- B30.15 Mobile Hydraulic Cranes (withdrawn 1982 requirements found in latest revision of B30.5)
- B30.16 Overhead Underhung and Stationary Hoists
- B30.17 Cranes and Monorails (With Underhung Trolley or Bridge)
- B30.18 Stacker Cranes (Top or Under Running Bridge, Multiple Girder With Top or Under Running Trolley Hoist)
- B30.19 Cableways
- B30.20 Below-the-Hook Lifting Devices

- B30.21 Lever Hoists
- B30.22 Articulating Boom Cranes
- B30.23 Personnel Lifting Systems
- B30.24 Container Cranes
- B30.25 Scrap and Material Handlers
- B30.26 Rigging Hardware
- B30.27 Material Placement Systems
- B30.28 Balance Lifting Units
- B30.29 Self-Erecting Tower Cranes
- B30.30 Ropes
- B30.31 Self-Propelled, Towed, or Remote-Controlled Hydraulic Platform Transporters¹
- B30.32 Unmanned Aircraft Systems (UAS) Used in Inspection, Testing, Maintenance, and Lifting Operations

SECTION II: SCOPE EXCLUSIONS

Any exclusion of, or limitations applicable to, the equipment, requirements, recommendations, or operations contained in this Standard are established in the affected volume's scope.

SECTION III: PURPOSE

The B30 Standard is intended to

(*a*) prevent or minimize injury to workers, and otherwise provide for the protection of life, limb, and property by prescribing safety requirements

(b) provide direction to manufacturers, owners, employers, users, and others concerned with, or responsible for, its application

(c) guide governments and other regulatory bodies in the development, promulgation, and enforcement of appropriate safety directives

SECTION IV: USE BY REGULATORY AGENCIES

These volumes may be adopted in whole or in part for governmental or regulatory use. If adopted for governmental use, the references to other national codes and standards in the specific volumes may be changed to refer to the corresponding regulations of the governmental authorities.

¹ This volume is currently in the development process.

SECTION V: EFFECTIVE DATE

(a) Effective Date. The effective date of this Volume of the B30 Standard shall be 1 yr after its date of issuance. Construction, installation, inspection, testing, maintenance, and operation of equipment manufactured and facilities constructed after the effective date of this Volume shall conform to the mandatory requirements of this Volume.

(b) Existing Installations. Equipment manufactured and facilities constructed prior to the effective date of this Volume of the B30 Standard shall be subject to the inspection, testing, maintenance, and operation requirements of this Standard after the effective date.

It is not the intent of this Volume of the B30 Standard to require retrofitting of existing equipment. However, when an item is being modified, its performance requirements shall be reviewed relative to the requirements within the current volume. The need to meet the current requirements shall be evaluated by a qualified person selected by the owner (user). Recommended changes shall be made by the owner (user) within 1 yr.

SECTION VI: REQUIREMENTS AND RECOMMENDATIONS

Requirements of this Standard are characterized by use of the word *shall*. Recommendations of this Standard are characterized by the word *should*.

SECTION VII: USE OF MEASUREMENT UNITS

This Standard contains SI (metric) units as well as U.S. Customary units. The values stated in U.S. Customary units are to be regarded as the standard. The SI units are a direct (soft) conversion from the U.S. Customary units.

SECTION VIII: REQUESTS FOR REVISION

The B30 Standards Committee will consider requests for revision of any of the volumes within the B30 Standard. Such requests should be directed to

> Secretary, B30 Standards Committee ASME Standards and Certification Two Park Avenue New York, NY 10016-5990

Requests should be in the following format:

- Volume: Cite the designation and title of the volume.
- Edition: Cite the applicable edition of the volume.
- Subject: Cite the applicable paragraph number(s) and the relevant heading(s).
- Request: Indicate the suggested revision.

Rationale: State the rationale for the suggested revision.

Upon receipt by the Secretary, the request will be forwarded to the relevant B30 Subcommittee for consideration and action. Correspondence will be provided to the requester defining the actions undertaken by the B30 Standards Committee.

SECTION IX: REQUESTS FOR INTERPRETATION

The B30 Standards Committee will render an interpretation of the provisions of the B30 Standard. An Interpretation Submittal Form is available on ASME's website at http://cstools.asme.org/Interpretation/Interpretation-Form.cfm.

Phrase the question as a request for an interpretation of a specific provision suitable for general understanding and use, not as a request for approval of a proprietary design or situation. Plans or drawings that explain the question may be submitted to clarify the question. However, they should not contain any proprietary names or information. Read carefully the note addressing the types of requests that the B30 Standards Committee can and cannot consider.

Upon submittal, the request will be forwarded to the relevant B30 Subcommittee for a draft response, which will then be subject to approval by the B30 Standards Committee prior to its formal issuance. The B30 Standards Committee may rewrite the question for the sake of clarity.

Interpretations to the B30 Standard will be available online at https://cstools.asme.org/Interpretation/ SearchInterpretation.cfm.

SECTION X: ADDITIONAL GUIDANCE

The equipment covered by the B30 Standard is subject to hazards that cannot be abated by mechanical means, but only by the exercise of intelligence, care, and common sense. It is therefore essential to have personnel involved in the use and operation of equipment who are competent, careful, physically and mentally qualified, and trained in the proper operation of the equipment and the handling of loads. Serious hazards include, but are not limited to, improper or inadequate maintenance, overloading, dropping or slipping of the load, obstructing the free passage of the load, and using equipment for a purpose for which it was not intended or designed.

The B30 Standards Committee fully realizes the importance of proper design factors, minimum or maximum dimensions, and other limiting criteria of wire rope or chain and their fastenings, sheaves, sprockets, drums, and similar equipment covered by the Standard, all of which are closely connected with safety. Sizes, strengths, and similar criteria are dependent on many different factors, often varying with the installation and uses. These factors depend on

(a) the condition of the equipment or material(b) the loads

(c) the acceleration or speed of the ropes, chains, sheaves, sprockets, or drums

(*d*) the type of attachments

(e) the number, size, and arrangement of sheaves or other parts

(f) environmental conditions causing corrosion or wear

(g) many variables that must be considered in each individual case

The requirements and recommendations provided in the volumes must be interpreted accordingly, and judgment used in determining their application.

ASME B30.16-2022 SUMMARY OF CHANGES

Following approval by the ASME B30 Committee and ASME, and after public review, ASME B30.16-2022 was approved by the American National Standards Institute on March 1, 2022.

ASME B30.16-2022 includes the following changes identified by a margin note, (22).

Page	Location	Change
ix	B30 Standard Introduction	Updated
1	Section 16-0.2	(1) Definition of <i>angle of loading</i> added(2) Definition of <i>rope</i> revised
3	Section 16-0.4	Revised in its entirety
10	Figure 16-0.2-1	Added
11	16-1.1.5	(1) First paragraph and subpara. (c) revised (2) Subparagraph (e) added
12	16-1.2.1	Subparagraphs (b) and (c) revised
13	16-1.2.6	Revised in its entirety
14	16-1.2.14	Revised in its entirety
14	16-1.2.17	(1) Subparagraph (e) revised (2) Subparagraphs (f) and (g) added
15	16-1.3.2	Revised
16	Section 16-2.1	(1) Paragraphs 16-2.1.1 through 16-2.1.5 revised(2) Paragraph 16-2.1.7 deleted
18	Table 16-2.1.4-2	Revised
20	16-2.2.2	Subparagraphs (a)(2)(-d) and (b)(2)(-d) revised
27	Section 16-3.6	In subpara. (b), reference updated
28	16-4.2.4	Subparagraphs (e) and (i) revised
30	Section 16-4.4	Revised in its entirety

Chapter 16-0 Scope, Definitions, Personnel Competence, and References

SECTION 16-0.1: SCOPE OF B30.16

Volume B30.16 includes provisions that apply to the construction, installation, operation, inspection, testing, and maintenance of overhead underhung and stationary hoists, including hand-chain-operated, electric-powered, and air-powered chain and wire rope hoists used for, but not limited to, vertical lifting and lowering of freely suspended, unguided loads that consist of equipment and materials (see Figures 16-0.1-1 through 16-0.1-6).

Requirements for a hoist that is used for a special purpose, such as, but not limited to, tensioning a load, nonvertical lifting service, lifting a guided load, lifting personnel, or drawing both the load and the hoist up or down the load chain or rope when the hoist is attached to the load, are not included in this Volume.

(22) SECTION 16-0.2: DEFINITIONS

abnormal operating conditions: environmental conditions that are unfavorable, harmful, or detrimental to the operation of the equipment, such as excessively high or low ambient temperatures, exposure to weather, corrosive fumes, dust-laden or moisture-laden atmospheres, and hazardous locations.

angle of loading: the angle between a hoist rope or load chain and the vertical axis (see Figure 16-0.2-1).

block, load: the assembly of hook or shackle, swivel, bearing, sheaves, sprockets, pins, and frame suspended by the hoisting rope or load chain. This shall include any appurtenances reeved in the hoisting rope or load chain.

brake: a device, other than a motor, used for retarding or stopping motion by means of friction or power.

brake, holding: a friction brake for a hoist that is automatically applied and prevents motion when power is off.

brake, mechanical load: an automatic type of friction brake used for controlling loads in a lowering direction. This unidirectional device requires torque from the motor or hand chain wheel to lower a load but does not impose any additional load on the motor or hand chain wheel when lifting a load. This may also be used as a holding brake if designed as such by the manufacturer. *braking, control:* a method of controlling speed by removing energy from the moving body or by imparting energy in the opposite direction.

braking, countertorque (plugging): a method of control by which the power to the motor is reversed to develop torque in the direction opposite to the rotation of the motor.

braking, dynamic: a method of controlling speed by using the motor as a generator, with the energy being dissipated in resistors.

braking, eddy current: a method of controlling or reducing speed by means of an energy induction load brake.

braking, mechanical: a method of controlling or reducing speed by friction.

braking, regenerative: a method of controlling speed in which the electrical energy generated by the motor is fed back into the power system.

chain, hand: the chain grasped by a person to apply force required for the lifting or lowering motion.

chain, load: the load-bearing chain in a hoist.

chain, roller: a series of alternately assembled roller links and pin links in which the pins articulate inside the bushings and the rollers are free to turn on the bushings. Pins and bushings are press fit in their respective link plates.

chain, welded link: a chain consisting of a series of interwoven links, formed and welded.

drum: a cylindrical member around which the rope is wound for lifting or lowering the load.

equalizer: a device that compensates for unequal length or stretch of a rope or chain.

exposed: applies to hazardous objects not guarded or isolated and capable of being contacted inadvertently.

guide, chain: a means to guide the load chain at the load sprocket.

hazardous (classified) locations: locations where fire or explosion hazards may exist. Locations are classified depending on the properties of the flammable vapors, liquids, or gases, or combustible dusts or fibers that may be present, and the likelihood that a flammable or combustible concentration or quantity is present (see ANSI/NFPA 70).