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Universal Mobile Telecommunications System (UMTS);  
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Trace control and configuration management  
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## Foreword

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## Introduction

The present document is part of a TS-family covering the 3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Telecommunication management, as identified below:

TS 32.421: "Subscriber and equipment trace: Trace concepts and requirements";

**TS 32.422: "Subscriber and equipment trace: Trace control and configuration management";**

TS 32.423: "Subscriber and equipment trace: Trace data definition and management";

Additionally, there is a GSM only Subscriber and Equipment Trace specification: 3GPP TS 52.008 [5].

Subscriber and Equipment Trace provide very detailed information at call level on one or more specific mobile(s). This data is an additional source of information to Performance Measurements and allows going further in monitoring and optimisation operations.

Contrary to Performance Measurements, which are a permanent source of information, Trace is activated on user demand for a limited period of time for specific analysis purposes.

Trace plays a major role in activities such as determination of the root cause of a malfunctioning mobile, advanced troubleshooting, optimisation of resource usage and quality, RF coverage control and capacity improvement, dropped call analysis, Core Network, UTRAN, EPC and E-UTRAN UMTS procedure validation.

The capability to log data on any interface at call level for a specific user (e.g. IMSI) or mobile type (e.g. IMEI or IMEISV), or service initiated from a UE allows getting information which cannot be deduced from Performance Measurements such as perception of end-user QoS during his call (e.g. requested QoS vs. provided QoS), correlation between protocol messages and RF measurements, or interoperability with specific mobile vendors.

Moreover, Performance Measurements provide values aggregated on an observation period, Subscriber and Equipment Trace give instantaneous values for a specific event (e.g., call, location update, etc.).

If Performance Measurements are mandatory for daily operations, future network planning and primary trouble shooting, Subscriber and Equipment Trace is the easy way to go deeper into investigation and network optimisation.



In order to produce this data, Subscriber and Equipment Trace are carried out in the NEs, which comprise the network. The data can then be transferred to an external system (e.g. an Operations System (OS) in TMN terminology, for further evaluation).

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# 1 Scope

The present document describes the mechanisms used for the control and configuration of the Trace, Minimization of Drive Test (MDT) and Radio Link Failure (RLF) reporting functionality at the EMs, NEs and UEs. For Trace functionality, it covers the triggering events for starting/stopping of subscriber/UE activity traced over 3GPP standardized signalling interfaces, the types of trace mechanisms, configuration of a trace, level of detail available in the trace data, the generation of Trace results in the Network Elements (NEs) and User Equipment (UE) and the transfer of these results to one or more EM(s) and/or Network Manager(s) (NM(s)). For MDT, it also covers logged MDT and immediate MDT mechanisms in both area based and signalling based scenarios. GSM is excluded from the RAT systems which the present document can be applied to.

The mechanisms for Trace, MDT and RLF reporting activation/deactivation are detailed in clause 4; clause 5 details the various Trace, MDT and RLF reporting control and configuration parameters and the triggering events that can be set in a network. Trace, MDT and RLF reporting concepts and requirements are covered in 3GPP TS 32.421 [2] while Trace and MDT data definition and management is covered in 3GPP TS 32.423 [3].

The conditions for supporting Network Sharing are stated in 3GPP TS 32.421 [2].

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# 2 References

The following documents contain provisions, which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

NOTE: Overall management principles are defined in 3GPP TS 32.101 [1].

- [1] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".
- [2] 3GPP TS 32.421: "Telecommunication management; Subscriber and equipment trace: Trace concepts and requirements".
- [3] 3GPP TS 32.423: "Telecommunication management; Subscriber and equipment trace: Trace data definition and management".
- [4] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [5] 3GPP TS 52.008: "Telecommunication management; GSM subscriber and equipment trace".
- [6] 3GPP TS 23.060: "General Packet Radio Service (GPRS) Service description; Stage 2".
- [7] 3GPP TS 23.205: "Bearer-independent circuit-switched core network; Stage 2".
- [8] 3GPP TS 23.108: "Mobile radio interface layer 3 specification, core network protocols; Stage 2 (structured procedures)".
- [9] 3GPP TS 23.246: "Multimedia Broadcast/Multicast Service (MBMS); Architecture and functional description".
- [10] 3GPP TS 29.232: "Media Gateway Controller (MGC) - Media Gateway (MGW); interface; Stage 3".
- [11] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".