ETSI TS 132 453 V14.0.0 (2017-04)



Universal Mobile Telecommunications System (UMTS); LTE;

Telecommunication management;
Performance Management (PM);
Performance measurements Home enhanced Node B (HeNB)
Subsystem (HeNS)
(3GPP TS 32.453 version 14.0.0 Release 14)



Reference RTS/TSGS-0532453ve00 Keywords LTE.UMTS

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from: <u>http://www.etsi.org/standards-search</u>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx

If you find errors in the present document, please send your comment to one of the following services: <u>https://portal.etsi.org/People/CommiteeSupportStaff.aspx</u>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2017.
All rights reserved.

DECT[™], **PLUGTESTS**[™], **UMTS**[™] and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**[™] and **LTE**[™] are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M logo is protected for the benefit of its Members

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

Contents

Intelle	ectual Property Rights	2
Forew	ord	2
Moda	l verbs terminology	2
Forew	ord	5
Introd	uction	5
1	Scope	7
2	References	7
3	Measurement family and abbreviations.	9
3.1 3.2	Measurement family	9
4	Functionality related measurements	10
4.1	Measurements related to HeNB-GW	10
4.1.1	Signalling Plane related measurements	10
4.1.1.1	Numbers of incoming SCTP packets on the S1 interface, from HeNB to HeNB GW	10
4.1.1.2	Numbers of outgoing SCTP packets on the S1 interface, from HeNB GW to HeNB	10
4.1.1.3		10
4.1.1.4	Numbers of octets of outgoing SCTP packets on the S1 interface, from HeNB GW to HeNB	11
4.1.2	User plane related measurements	
4.1.2.1	Trumbers of medium g of the public of bit investigate, from the tip to the tip of the minimum and the tip of t	
4.1.2.2		
4.1.2.3	8 - I	
4.1.2.4	, in the second of the second	
4.2	Measurements related to HeNB.	
4.2.1	Measurements related to CSG service	
4.2.1.1		
4.2.1.2		
4.2.1.3	•	14
4.2.1.3	mode	14
4.2.1.3	mode	
4.2.1.3	Failed inbound mobility for UEs to CSG cells or Hybrid cells in RRC_CONNECTED mode	14
4.2.2	Measurements related to RRC	15
4.2.2.1	Overview	15
4.2.2.2	RRC connection establishments	15
4.2.2.2		15
4.2.2.2		
4.2.2.2		
4.2.3	Measurements related to E-RAB	
4.2.3.1		
4.2.3.2		
4.2.3.2		
4.2.3.2	·	
4.2.3.2		
4.2.3.3	1	
4.2.3.3	<u>.</u>	
4.2.3.3		
4.2.3.3	1	
4.2.3.4		
4.2.3.4	1 , 1 ,	
4.2.3.4		
4.2.3.5		
4.2.3.5	Number of Direct User Plane Path	21

4.2.3.	1 1	
4.2.3.	· · · · · · · · · · · · · · · · · · ·	
4.2.3.		
4.2.4 4.2.4.		
4.2.4.		
4.2.4.		
4.2.4.		
4.2.4.		
4.2.4.		
4.2.4.		
4.2.4.	\mathcal{E}	
4.2.4.		
4.2.4.		
4.2.4.		
4.2.4. 4.2.4.		
4.2.4. 4.2.4.		
4.2.4. 4.2.4.		
4.2.5		
4.2.5.		
4.2.5.	S C C C C C C C C C C C C C C C C C C C	
4.2.5.		
4.2.5.	Maximum UL cell PDCP SDU bit-rate	30
4.2.6	V 1	
4.2.6.		
4.2.6.	±	
4.2.7		
4.2.7		
4.2.7. 4.2.8		
4.2.8.	· · · · · · · · · · · · · · · · · · ·	
4.2.8.		
4.2.8.	<u> </u>	
4.2.8.		
Ann	ex A: Use cases for performance measurements definition	34
A.1		
A.2	Use case of HeNB-GW user plane measurements	
A.3	CSG service related performance	
A.4	RRC related performance	
A.5	E-RAB related performance	
	•	
A.6	Handover related performance	
A.7	Radio bearer QoS related performance	
A.8	Packet delay, drop rate and loss rate related performance	
A.9	Monitor of resource utilisation	
Ann	ex B: Change history	37
Histo	ory	38

Foreword

This Technical Report has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Introduction

The present document is part of a TS-family covering the 3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; Telecommunication management; as identified below:

32.401	Performance Management (PM); Concept and requirements
52.402	Performance Management (PM); Performance measurements – GSM
32.404	Performance Management (PM); Performance measurements - Definitions and template
32.405	Performance Management (PM); Performance measurements Universal Terrestrial Radio Access Network (UTRAN)
32.406	Performance Management (PM); Performance measurements Core Network (CN) Packet Switched (PS) domain
32.407	Performance Management (PM); Performance measurements Core Network (CN) Circuit Switched (CS) domain
32.408	Performance Management (PM); Performance measurements Teleservice
32.409	Performance Management (PM); Performance measurements IP Multimedia Subsystem (IMS)
32.452	Performance Management (PM); Performance measurements Home Node B Subsystem (HNS)
32.453	Performance Management (PM); Performance measurements Home enhanced Node B Subsystem (HeNS)

The present document is part of a set of specifications, which describe the requirements and information model necessary for the standardised Operation, Administration and Maintenance (OA&M) of a multi-vendor Home enhanced Node B Subsystem (HeNS).

During the lifetime of HeNS, its logical and physical configuration will undergo changes of varying degrees and frequencies in order to optimise the utilisation of the network resources. These changes will be executed through network configuration management activities and/or network engineering, see 3GPP TS 32.600 [1].

Many of the activities involved in the daily operation and future network planning of HeNS require data on which to base decisions. This data refers to the load carried by the network and the grade of service offered. In order to produce this data performance measurements are executed in the NEs, which comprise the network. The data can then be transferred to an external system, e.g. an Operations System (OS) in TMN terminology, for further evaluation. The purpose of the present document is to describe the mechanisms involved in the collection of the data and the definition of the data itself.

Annex B of TS 32.404[2] helps in the definition of new performance measurements that can be submitted to 3GPP for potential adoption and inclusion in the present document. Annex B of TS 32.404[2] discusses a top-down performance measurement definition methodology that focuses on how the end-user of performance measurements can use the measurements.

1 Scope

The present document describes the measurements for Home enhanced Node B Subsystem (HeNS).

HeNS [3] is consists of a HeNB and optionally a HeNB GW. And, it is connected by means of the standard S1 interface to the EPC (Evolved Packet Core), more specifically to the MME (Mobility Management Entity) by means of the S1-MME interface and to the Serving Gateway (S-GW) by means of the S1-U interface

TS 32.401 [4] describes Performance Management concepts and requirements.

The present document is valid for all measurement types provided by an implementation of HeNS.

Only measurement types that are specific to HeNS are defined within the present documents. Vendor specific measurement types used in HeNS are not covered. Instead, these could be applied according to manufacturer's documentation.

Measurements related to "external" technologies (such as ATM or IP) as described by "external" standards bodies (e.g. ITU-T or IETF) shall only be referenced within this specification, wherever there is a need identified for the existence of such a reference.

The definition of the standard measurements is intended to result in comparability of measurement data produced in a multi-vendor network, for those measurement types that can be standardised across all vendors' implementations.

The structure of the present document is as follows:

- Header 1: Network Element (e.g. measurements related to HeNB and HeNB GW);
- Header 2: Measurement function (e.g. HeNB registration measurements);
- Header 3: Measurements.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TS 32.600: "Telecommunication management; Configuration Management (CM); Concept and high-level requirements".
- [2] 3GPP TS 32.404: "Performance Management (PM); Performance measurements Definitions and template".
- [3] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".
- [4] 3GPP TS 32.401: "Telecommunication management; Performance Management (PM); Concept and requirements".
- [5] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA); and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2 (Release 9)".
- [6] 3GPP TS 36.413: "Technical Specification Group Radio Access Network; Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".
- [7] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".
- [8] 3GPP TS 36.314: "Evolved Universal Terrestrial Radio Access (E-UTRA); Layer 2 Measurements".