IEEE Standard for Definitions and Concepts for Dynamic Spectrum Access: Terminology Relating to Emerging Wireless Networks, System Functionality, and Spectrum Management

IEEE Communications Society

Sponsored by the Dynamic Spectrum Access Networks Standards Committee (DySPAN-SC)

IEEE 3 Park Avenue New York, NY 10016-5997 USA **IEEE Std 1900.1™-2019** (Revision of IEEE Std 1900.1-2008)

IEEE Standard for Definitions and Concepts for Dynamic Spectrum Access: Terminology Relating to Emerging Wireless Networks, System Functionality, and Spectrum Management

Sponsor

Dynamic Spectrum Access Networks Standards Committee (DySPAN-SC) of the IEEE Communications Society

Approved 11 February 2019

IEEE-SA Standards Board

Abstract: Definitions and explanations of key concepts in the fields of spectrum management, spectrum trading, cognitive radio, dynamic spectrum access, policy-based radio systems, softwaredefined radio, and related advanced radio system technologies are provided. Beyond simple, short definitions, amplifying text explaining these terms in the context of the technologies that use them is provided. Also described is how these technologies interrelate and create new capabilities while at the same time providing mechanisms supportive of new spectrum management paradigms.

Keywords: cognitive radio, cognitive radio networks, dynamic spectrum access, IEEE 1900.1[™], policy-based radio system, software-controlled radio, software-defined radio, spectrum management, spectrum trading

IEEE is a registered trademark in the U.S. Patent & Trademark Office, owned by The Institute of Electrical and Electronics Engineers, Incorporated.

PDF:	ISBN 978-1-5044-5554-1	STD23553
Print:	ISBN 978-1-5044-5555-8	STDPD23553

IEEE prohibits discrimination, harassment, and bullying.

For more information, visit http://www.ieee.org/web/aboutus/whatis/policies/p9-26.html.

No part of this publication may be reproduced in any form, in an electronic retrieval system or otherwise, without the prior written permission of the publisher.

The Institute of Electrical and Electronics Engineers, Inc. 3 Park Avenue, New York, NY 10016-5997, USA

Copyright © 2019 by The Institute of Electrical and Electronics Engineers, Inc. All rights reserved. Published 23 April 2019. Printed in the United States of America.

Important Notices and Disclaimers Concerning IEEE Standards Documents

IEEE documents are made available for use subject to important notices and legal disclaimers. These notices and disclaimers, or a reference to this page, appear in all standards and may be found under the heading "Important Notices and Disclaimers Concerning IEEE Standards Documents." They can also be obtained on request from IEEE or viewed at http://standards.ieee.org/IPR/disclaimers.html.

Notice and Disclaimer of Liability Concerning the Use of IEEE Standards Documents

IEEE Standards documents (standards, recommended practices, and guides), both full-use and trial-use, are developed within IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association ("IEEE-SA") Standards Board. IEEE ("the Institute") develops its standards through a consensus development process, approved by the American National Standards Institute ("ANSI"), which brings together volunteers representing varied viewpoints and interests to achieve the final product. IEEE Standards are documents developed through scientific, academic, and industry-based technical working groups. Volunteers in IEEE working groups are not necessarily members of the Institute and participate without compensation from IEEE. While IEEE administers the process and establishes rules to promote fairness in the consensus development process, IEEE does not independently evaluate, test, or verify the accuracy of any of the information or the soundness of any judgments contained in its standards.

IEEE Standards do not guarantee or ensure safety, security, health, or environmental protection, or ensure against interference with or from other devices or networks. Implementers and users of IEEE Standards documents are responsible for determining and complying with all appropriate safety, security, environmental, health, and interference protection practices and all applicable laws and regulations.

IEEE does not warrant or represent the accuracy or content of the material contained in its standards, and expressly disclaims all warranties (express, implied and statutory) not included in this or any other document relating to the standard, including, but not limited to, the warranties of: merchantability; fitness for a particular purpose; non-infringement; and quality, accuracy, effectiveness, currency, or completeness of material. In addition, IEEE disclaims any and all conditions relating to: results; and workmanlike effort. IEEE standards documents are supplied "AS IS" and "WITH ALL FAULTS."

Use of an IEEE standard is wholly voluntary. The existence of an IEEE standard does not imply that there are no other ways to produce, test, measure, purchase, market, or provide other goods and services related to the scope of the IEEE standard. Furthermore, the viewpoint expressed at the time a standard is approved and issued is subject to change brought about through developments in the state of the art and comments received from users of the standard.

In publishing and making its standards available, IEEE is not suggesting or rendering professional or other services for, or on behalf of, any person or entity nor is IEEE undertaking to perform any duty owed by any other person or entity to another. Any person utilizing any IEEE Standards document, should rely upon his or her own independent judgment in the exercise of reasonable care in any given circumstances or, as appropriate, seek the advice of a competent professional in determining the appropriateness of a given IEEE standard.

IN NO EVENT SHALL IEEE BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO: PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE PUBLICATION, USE OF, OR RELIANCE UPON ANY STANDARD, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE AND REGARDLESS OF WHETHER SUCH DAMAGE WAS FORESEEABLE.

Translations

The IEEE consensus development process involves the review of documents in English only. In the event that an IEEE standard is translated, only the English version published by IEEE should be considered the approved IEEE standard.

Official statements

A statement, written or oral, that is not processed in accordance with the IEEE-SA Standards Board Operations Manual shall not be considered or inferred to be the official position of IEEE or any of its committees and shall not be considered to be, or be relied upon as, a formal position of IEEE. At lectures, symposia, seminars, or educational courses, an individual presenting information on IEEE standards shall make it clear that his or her views should be considered the personal views of that individual rather than the formal position of IEEE.

Comments on standards

Comments for revision of IEEE Standards documents are welcome from any interested party, regardless of membership affiliation with IEEE. However, IEEE does not provide consulting information or advice pertaining to IEEE Standards documents. Suggestions for changes in documents should be in the form of a proposed change of text, together with appropriate supporting comments. Since IEEE standards represent a consensus of concerned interests, it is important that any responses to comments and questions also receive the concurrence of a balance of interests. For this reason, IEEE and the members of its societies and Standards Coordinating Committees are not able to provide an instant response to comments or questions except in those cases where the matter has previously been addressed. For the same reason, IEEE does not respond to interpretation requests. Any person who would like to participate in revisions to an IEEE standard is welcome to join the relevant IEEE working group.

Comments on standards should be submitted to the following address:

Secretary, IEEE-SA Standards Board 445 Hoes Lane Piscataway, NJ 08854 USA

Laws and regulations

Users of IEEE Standards documents should consult all applicable laws and regulations. Compliance with the provisions of any IEEE Standards document does not imply compliance to any applicable regulatory requirements. Implementers of the standard are responsible for observing or referring to the applicable regulatory regulatory requirements. IEEE does not, by the publication of its standards, intend to urge action that is not in compliance with applicable laws, and these documents may not be construed as doing so.

Copyrights

IEEE draft and approved standards are copyrighted by IEEE under US and international copyright laws. They are made available by IEEE and are adopted for a wide variety of both public and private uses. These include both use, by reference, in laws and regulations, and use in private self-regulation, standardization, and the promotion of engineering practices and methods. By making these documents available for use and adoption by public authorities and private users, IEEE does not waive any rights in copyright to the documents.

Photocopies

Subject to payment of the appropriate fee, IEEE will grant users a limited, non-exclusive license to photocopy portions of any individual standard for company or organizational internal use or individual, non-commercial use only. To arrange for payment of licensing fees, please contact Copyright Clearance Center, Customer Service, 222 Rosewood Drive, Danvers, MA 01923 USA; +1 978 750 8400. Permission to photocopy portions of any individual standard for educational classroom use can also be obtained through the Copyright Clearance Center.

Updating of IEEE Standards documents

Users of IEEE Standards documents should be aware that these documents may be superseded at any time by the issuance of new editions or may be amended from time to time through the issuance of amendments, corrigenda, or errata. An official IEEE document at any point in time consists of the current edition of the document together with any amendments, corrigenda, or errata then in effect.

Every IEEE standard is subjected to review at least every 10 years. When a document is more than 10 years old and has not undergone a revision process, it is reasonable to conclude that its contents, although still of some value, do not wholly reflect the present state of the art. Users are cautioned to check to determine that they have the latest edition of any IEEE standard.

In order to determine whether a given document is the current edition and whether it has been amended through the issuance of amendments, corrigenda, or errata, visit the IEEE Xplore at http://ieeexplore.ieee.org/ or contact IEEE at the address listed previously. For more information about the IEEE-SA or IEEE's standards development process, visit the IEEE-SA Website at http://standards.ieee.org.

Errata

Errata, if any, for all IEEE standards can be accessed on the IEEE-SA Website at the following URL: http:// standards.ieee.org/findstds/errata/index.html. Users are encouraged to check this URL for errata periodically.

Patents

Attention is called to the possibility that implementation of this standard may require use of subject matter covered by patent rights. By publication of this standard, no position is taken by the IEEE with respect to the existence or validity of any patent rights in connection therewith. If a patent holder or patent applicant has filed a statement of assurance via an Accepted Letter of Assurance, then the statement is listed on the IEEE-SA Website at http://standards.ieee.org/about/sasb/patcom/patents.html. Letters of Assurance may indicate whether the Submitter is willing or unwilling to grant licenses under patent rights without compensation or under reasonable rates, with reasonable terms and conditions that are demonstrably free of any unfair discrimination to applicants desiring to obtain such licenses.

Essential Patent Claims may exist for which a Letter of Assurance has not been received. The IEEE is not responsible for identifying Essential Patent Claims for which a license may be required, for conducting inquiries into the legal validity or scope of Patents Claims, or determining whether any licensing terms or conditions provided in connection with submission of a Letter of Assurance, if any, or in any licensing agreements are reasonable or non-discriminatory. Users of this standard are expressly advised that determination of the validity of any patent rights, and the risk of infringement of such rights, is entirely their own responsibility. Further information may be obtained from the IEEE Standards Association.

Participants

At the time this IEEE standard was completed, the DYSPAN—Terms, Definitions and Concepts for Spectrum Management Working Group had the following membership:

Francesco Benedetto, Chair, Secretary Pro-Tempore, and Technical Editor Oliver Holland, Vice Chair Bernd Bochow, Technical Editor

Muhammad S. Aljuaid	Younes Abdi Mahmoudaliloo	RangaRao Venkatesha Prasad
Adrian Kliks		Reinhard Schrage

The following members of the individual balloting committee voted on this standard. Balloters may have voted for approval, disapproval, or abstention.

Francesco Benedetto Bernd Bochow Demetrio Bucaneg Jr. William Byrd C. Caicedo Bastidas Pin Chang Bruce Fette Avraham Freedman Randall Groves Michael Gundlach Robert Heile Werner Hoelzl Oliver Holland Noriyuki Ikeuchi Raj Jain Piotr Karocki Stuart Kerry Chad Kiger Thomas Kurihara Joseph Levy Edward McCall Michael Newman Nick S. A. Nikjoo RangaRao Venkatesha Prasad Maximilian Riegel Naotaka Sato Gary Smullin Thomas Starai Walter Struppler Khurram Waheed Lisa Ward Hung-Yu Wei Oren Yuen

When the IEEE-SA Standards Board approved this standard on 11 February 2019, it had the following membership:

Gary Hoffman, Chair Vacant Position, Vice Chair Jean-Philippe Faure, Past Chair Konstantinos Karachalios, Secretary

Masayuki Ariyoshi Ted Burse Stephen D. Dukes J. Travis Griffith Guido Hiertz Christel Hunter Thomas Koshy Joseph L. Koepfinger*

*Member Emeritus

Thomas Koshy John D. Kulick David J. Law Joseph Levy Howard Li Xiaohui Liu Kevin Lu Daleep Mohla Andrew Myles Annette D. Reilly Dorothy Stanley Sha Wei Phil Wennblom Philip Winston Howard Wolfman Feng Wu Jingyi Zhou

Introduction

This introduction is not part of IEEE Std 1900.1-2019, IEEE Standard for Definitions and Concepts for Dynamic Spectrum Access: Terminology Relating to Emerging Wireless Networks, System Functionality, and Spectrum Management.

This standard establishes common terminology for describing emerging networks and nodes employing radio devices characterized by cognition, adaptation, environment awareness, and policy-based adaptive techniques. Specifically, the definitions provided in this document stem predominantly from a spectrum management point of view. It is anticipated that these definitions will ultimately mature and ideally achieve widespread acceptance among researchers, manufacturers, service providers, regulators, and operators.

The intent of this document is to promote a common understanding of systems technology and spectrum management terms so that technologists in a variety of fields such as radio science—including digital communications, computer science, and artificial intelligence—and regulators have a common understanding of the terminology. It is the intent of this document to provide concise definitions of key terms in advanced radio system technologies and in advanced spectrum management techniques.

The focus of this standard is on terms and concepts relating to emerging wireless networks, radio, technology, system functionality, and spectrum management. It was agreed that in some cases, multiple definitions for a specific term were appropriate. Development of this standard required:

- a) The creation of some new terms (e.g., cognition) and the development of definitions for these new terms.
- b) The development of alternative definitions for existing terms (e.g., dynamic frequency selection) that have been defined by other standards development organizations; these alternative definitions were required for next-generation radio and spectrum management because these terms have new meanings when used in the context of discussing advanced radio systems.

It is deemed to be outside the focus of this standard to include all of the terms relevant to wireless communications systems and spectrum management (e.g., radio frequency and radio signal). However, when deemed appropriate, existing terms from the ITU-R and other IEEE documents are included for the convenience of the reader even though the term and definition is unchanged for next-generation radio and spectrum management. These terms are clearly identified in the text.

This document provides normative terms and definitions to support the research and deployment of dynamic spectrum management (DSM) and dynamic spectrum access (DSA). Many factors are creating a need for DSM and DSA. Among the forces creating this need for change are as follows:

- The increasing use of wireless services and their need for spectrum
- The increasing data load being transmitted wirelessly, requiring increasing spectrum bandwidth
- The emergence of multimode products such as mobile, broadcast, and radiolocation into single devices
- Increasing pressure to guarantee spectrum access for priority services, such as public safety, while allowing other uses for that same spectrum when not in use by those priority services

Overview of new technologies

Terminology used to describe equipment, systems, and networks employing advanced radio functionality are contained within this standard that will provide researchers, manufacturers, service providers, regulators,

operators, and users with the means of describing advanced radio devices and spectrum management techniques. Some of the general features of these emerging radio and wireless networking systems are as follows:

- Flexibility
- Dynamic and adaptive behavior
- Awareness (e.g., location and radio environment), cognition, and intelligence
- Networking for group collaboration and interaction (e.g., sensing the spectrum usage environment)

These features create many new avenues for improving use and access to the radio spectrum. Specifically, they provide additional degrees of freedom that allow a device to choose the best method of spectrum access for a particular situation and to alter the method of access to respond to changing conditions. For example, dynamic spectrum access systems have been suggested as a fundamental, technology-enabled method to make more effective and efficient use of scarce available spectrum. In principle, the DSA concept advocates empowering radio systems with the local authority and responsibility to manage available spectrum. However, practical methods for designing, developing, and managing such systems remain in the early formative stages. Current spectrum management practices do not provide effective techniques for certifying these types of advanced radio devices or for managing their access to the radio spectrum issues for each new device must be addressed on a case-by-case basis.

Recent advancements in wireless communication technology have given rise to many new terms and concepts within the body of technical literature, including reconfigurable radio, software-defined radio, software-controlled radio, policy-defined radio, adaptive radio, cognitive radio, and others. Although radios certainly play an important part in the design and construction of wireless communication systems, these advanced technologies extend beyond what is commonly thought of as a *radio*. When describing these technologies, therefore, it is more useful to consider them in the context of a complete communications system or network.

For example, the terms *cognitive radio* and *adaptive radio* are often used, and although it may be a convenient linguistic construct, it is unclear that the use of these terms is always both linguistically and logically correct. In wireless communication systems, radios are physical devices that transmit and receive information encoded on radio-frequency (RF) waveforms using antennas, transmitters, and receivers. That is, radios are designed to perform certain functions, for example, receiving and transmitting. The development of this standard was founded on the premise that wireless communication systems will evolve to the point where cognitive and adaptive functionality play an important, essential role in their use of the radio spectrum, and that the industry is now approaching that point in history. Consequently, this standard provides definitions for terms describing this expanded functionality that are relevant to spectrum use by next-generation radios and networks. For example, the cognition function may not be just a radio function as radio is defined herein; some of the functionality may lie outside the radio itself. This focus is provided throughout the standard.

The term *cognitive* has recently entered into the lexicon of wireless networks in an attempt to describe a functionality that is inherently distributed within not only a given network but also potentially a network of networks. Consider, for example, a network of unlicensed band Wi-Fi¹ access points and stations providing Internet access for the population of a metropolitan area, operating alongside a public safety network, including police, fire, and ambulance communications in the same band, with both networks sharing information that allows them to coexist. This new cognitive functionality provides a mechanism for peaceful coexistence among multiple networks and the potential for equitable sharing of RF spectrum. As the term *cognitive* implies, at the heart of the new technology is knowledge, that is, information that is gathered and stored in possibly a distributed manner throughout the network of networks.

¹Wi-Fi is a registered trademark of the Wi-Fi Alliance.

Contents

1.	Overview	. 12
	1.1 Scope	
	1.2 Purpose	. 12
2		10
2.	Acronyms and abbreviations	. 13
3	Definitions of advanced radio system concepts	14
5.	3.1 Adaptive radio	
	3.2 Cognitive radio	
	3.3 Hardware-defined radio	
	3.4 Hardware radio	
	3.5 Intelligent radio	
	3.6 Policy-based radio	
	3.7 Reconfigurable radio	
	3.8 Software-controlled radio	
	3.9 Software-defined radio	
		. 10
4.	Definitions of radio system functional capabilities	. 17
	4.1 Adaptive modulation	. 17
	4.2 Cognition	. 17
	4.3 Cognitive control mechanism	. 17
	4.4 Cognitive process	. 17
	4.5 Cognitive radio system	. 18
	4.6 Frequency agility	. 18
	4.7 Geolocation capability	. 18
	4.8 Location awareness	
	4.9 Policy-based control mechanism	
	4.10 Policy conformance reasoner	
	4.11 Policy enforcer	
	4.12 Radio awareness	
	4.13 Software controlled	
	4.14 Software defined	
	4.15 System strategy reasoning capability	
	4.16 Transmit power control	. 20
E	Definition of the initial control constraints and a the terms of a local state of the terms of a local state of the terms of	20
Э.	Definitions of decision-making and control concepts that support advanced radio system technologies .	
	5.1 Coexistence policy	
	5.2 DSA policy language	
	5.3 Formal policy	
	5.4 Meta-policy5.5 Model-theoretic computational semantics	
	5.6 Policy language	
	J. / Kedsollel	. 41
6.	Definitions of network technologies that support advanced radio system technologies	. 21
	6.1 Cognitive radio network	
	6.2 Dynamic spectrum access networks	
	6.3 Reconfigurable networks	
7.	Spectrum management definitions	
	7.1 Allocation	
	7.2 Clear channel assessment function	
	7.3 Coexistence	. 22

	7.4 Co	existence mechanism	22
	7.5 Cog	gnitive interference avoidance	22
	7.6 Col	Ilaboration	22
	7.7 Col	llaborative decoding	22
	7.8 Co	operation	23
		ta archive	
		istributed radio resource usage optimization	
		istributed sensing	
		ynamic channel assignment	
		ynamic frequency selection	
		ynamic frequency sharing	
		ynamic spectrum access	
		ynamic spectrum assignment	
		ynamic spectrum management	
		lectromagnetic compatibility	
		requency hopping	
		requency haring	
		ierarchical spectrum access	
		orizontal spectrum sharing	
		terference	
		pportunistic spectrum access	
		pportunistic spectrum management	
		olicy authority	
		olicy traceability	
		adio environment map	
		F environment map	
		ensing control information	
		ensing information	
		ensor	
		pectral opportunity	
		pectrum access	
		pectrum broker	
		pectrum efficiency	
	-	pectrum etiquette	
	-	pectrum leasing	
		pectrum management	
		pectrum overlay	
	-	pectrum owner	
		pectrum pooling	
	-	pectrum sensing	
		ooperative spectrum sensing	
		ollaborative spectrum sensing	
	7.46 Sp	pectrum sharing	30
	7.47 Sp	pectrum underlay	30
	7.48 Sp	pectrum utilization	30
	7.49 Sp	pectrum utilization efficiency	31
	7.50 Ve	ertical spectrum sharing	31
	7.51 W	Thite space	32
	7.52 W	Thite space database	32
	7.53 W	Thite space frequency band	32
		/hite space spectrum band	
_			
8.		ry of ancillary terminology	
	8.1 Air	interface	32

8.2 Digital policy	32
8.3 Domain	33
8.4 Interference temperature	33
8.5 Interoperability	33
8.6 Machine learning	33
8.7 Machine-understandable policies	33
8.8 Ontology	33
8.9 Policy	34
8.10 Quality of service	
8.11 Radio	34
8.12 Radio node	35
8.13 Radio spectrum	
8.14 Receiver	
8.15 Software	
8.16 Transmitter	
8.17 Waveform	
8.18 Waveform processing	36
Annex A (informative) Implications of advanced radio system technologies for spectrum	37
Annex B (informative) Explanatory notes on advanced radio system technologies and advanced sp	ectrum
management concepts	41
Annex C (informative) List of deleted terms from the previous versions of IEEE Std 1901.1	66
Annex D (informative) Bibliography	73

IEEE Standard for Definitions and Concepts for Dynamic Spectrum Access: Terminology Relating to Emerging Wireless Networks, System Functionality, and Spectrum Management

1. Overview

1.1 Scope

This standard provides definitions and explanations of key concepts in the fields of spectrum management, spectrum trading, cognitive radio, dynamic spectrum access, policy-based radio systems, software-defined radio, and related advanced radio system technologies. The document goes beyond simple, short definitions by providing amplifying text that explains these terms in the context of the technologies that use them. The document also describes how these technologies interrelate and create new capabilities while at the same time providing mechanisms supportive of new spectrum management paradigms.

This revision to IEEE Std 1900.1TM-2008 adds additional definitions, modifies existing definitions, and removes outdated definitions; it updates the auxiliary text and informative annexes to reflect new concepts and developments in advanced radio systems; introduces a taxonomy of terms which depicts relationships between definitions and concepts, and updates the document structure to align revised definitions, concepts, and relationships between terms and definitions.

1.2 Purpose

New concepts and technologies are rapidly emerging in the fields of spectrum management, spectrum trading, cognitive radio, dynamic spectrum access, policy-based radio systems, software-defined radio, and related advanced radio system technologies. Many of the terms used do not have precise definitions or have multiple definitions. This document facilitates the development of these technologies by clarifying the terminology and how these technologies relate to each other.

2. Acronyms and abbreviations

For the purposes of this document, the following terms and definitions apply. The *IEEE Standards Dictionary Online* should be consulted for terms not defined in this clause.²

²IEEE Standards Dictionary Online is available at: http://dictionary.ieee.org.