

# ETSI TS 129 328 V12.11.0 (2016-04)



**Digital cellular telecommunications system (Phase 2+) (GSM);  
Universal Mobile Telecommunications System (UMTS);  
LTE;  
IP Multimedia (IM) Subsystem Sh interface;  
Signalling flows and message contents  
(3GPP TS 29.328 version 12.11.0 Release 12)**



---

Reference

RTS/TSGC-0429328vcb0

---

Keywords

GSM,LTE,UMTS

***ETSI***

---

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

---

***Important notice***

The present document can be downloaded from:  
<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.  
Information on the current status of this and other ETSI documents is available at  
<http://portal.etsi.org/tb/status/status.asp>

If you find errors in the present document, please send your comment to one of the following services:  
<https://portal.etsi.org/People/CommitteeSupportStaff.aspx>

---

***Copyright Notification***

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.  
The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2016.  
All rights reserved.

**DECT™, PLUGTESTS™, UMTS™** and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.  
**3GPP™** and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and  
of the 3GPP Organizational Partners.

**GSM®** and the GSM logo are Trade Marks registered and owned by the GSM Association.

---

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

---

## Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under  
<http://webapp.etsi.org/key/queryform.asp>.

---

## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

---

# Contents

Intellectual Property Rights .....	2
Foreword.....	2
Modal verbs terminology.....	2
Foreword.....	6
1    Scope .....	7
2    References .....	7
3    Definitions, symbols and abbreviations .....	8
3.1    Definitions.....	8
3.2    Abbreviations .....	9
4    Main Concept .....	9
5    General Architecture .....	9
5.1    Functional requirements of network entities .....	9
5.1.1    Functional Requirements of the Application Server.....	9
5.1.2    Functional requirements of HSS .....	9
5.1.3    Functional Requirements of the Presence Network Agent .....	9
5.2    Functional classification of Sh interface procedures .....	10
6    Procedure Descriptions.....	10
6.1    User data handling procedures .....	11
6.1.1    Data read (Sh-Pull) .....	11
6.1.1.1    Detailed behaviour .....	14
6.1.2    Data Update (Sh-Update).....	16
6.1.2.1    Detailed behaviour .....	18
6.1.3    Subscription to notifications (Sh-Subs-Notif).....	20
6.1.3.1    Detailed behaviour .....	22
6.1.4    Notifications (Sh-Notif).....	24
6.1.4.1    Detailed behaviour .....	24
6.2    AS permissions list .....	25
6.3    Void.....	26
6.4    Void.....	26
6.5    User identity to HSS resolution.....	26
7    Information element contents .....	27
7.1    User Identity .....	27
7.1.1    IMS Public User Identity / Public Service Identity.....	27
7.1.2    MSISDN .....	27
7.1A    Wildcarded PSI .....	27
7.1B    Wildcarded Public User Identity .....	27
7.2    Requested Domain .....	27
7.2A    Requested Nodes .....	27
7.2B    Serving Node Indication.....	28
7.3    Requested Data.....	28
7.4    Service Indication.....	28
7.5    Result.....	28
7.6    Data .....	28
7.6.1    Repository Data .....	30
7.6.2    IMSPublicIdentity.....	30
7.6.3    IMS User State.....	30
7.6.4    S-CSCF Name .....	31
7.6.5    Initial Filter Criteria.....	31
7.6.6    Location Information .....	31
7.6.6.1    Location information for CS .....	32
7.6.6.2    Location information for GPRS .....	32

7.6.6.3	Location information for EPS .....	33
7.6.6.4	Location Information for TWAN .....	33
7.6.7	User state .....	34
7.6.8	Charging information.....	34
7.6.9	MSISDN .....	34
7.6.9A	Extended MSISDN .....	34
7.6.10	PSIActivation.....	35
7.6.11	DSAI.....	35
7.6.12	Void .....	35
7.6.13	Service Level Trace Information .....	35
7.6.14	IP address secure binding information.....	35
7.6.15	Service Priority Level .....	36
7.6.15A	Extended Priority .....	36
7.6.16	SMSRegistrationInfo .....	36
7.6.17	UE reachability for IP .....	36
7.6.18	T-ADS Information.....	36
7.6.19	Private Identity.....	36
7.6.20	STN-SR .....	36
7.6.21	UE SRVCC Capability .....	37
7.6.22	CSRN.....	37
7.6.23	Reference Location Information .....	37
7.6.24	IMSI.....	37
7.6.25	IMSPrivateUserIdentity .....	37
7.7	Subscription request type .....	37
7.8	Current Location .....	37
7.9	Application Server Identity .....	37
7.10	Application Server Name .....	38
7.11	Requested Identity Set.....	38
7.12	Expiry Time.....	38
7.13	Send Data Indication .....	38
7.14	DSAI Tag .....	38
7.15	Session-Priority .....	38
7.16	One Time Notification.....	38
7.17	Repository Data ID.....	38
7.18	Pre-paging Supported .....	38
7.19	Local Time Zone Indication .....	38
7.20	UDR Flags.....	39
7.21	Call Reference Info .....	39
7.22	Call Reference Number .....	39
7.23	AS-Number .....	39
8	Protocol version identification .....	39
9	Operational Aspects .....	39
<b>Annex A (normative):</b>	<b>Mapping of Sh operations and terminology to Diameter.....</b>	<b>40</b>
A.1	Introduction .....	40
A.2	Sh message to Diameter command mapping.....	40
A.3	Void.....	40
<b>Annex B (informative):</b>	<b>Message flow.....</b>	<b>41</b>
B.1	Message flows .....	41
B.1.1	Data Update, Registration, Notification Subscription. ....	41
<b>Annex C (informative):</b>	<b>UML model of the data downloaded over Sh interface.....</b>	<b>43</b>
C.1	General description.....	43
C.2	PublicIdentifiers .....	45
C.3	Sh-IMS-Data .....	46

<b>Annex D (normative):</b>	<b>XML schema for the Sh interface user profile.....</b>	<b>48</b>
<b>Annex E (informative):</b>	<b>T-ADS request handling in the HSS.....</b>	<b>57</b>
<b>Annex F (normative):</b>	<b>Diameter overload control mechanism.....</b>	<b>64</b>
F.1	General .....	64
F.2	HSS behaviour.....	64
F.3	AS behaviour.....	64
<b>Annex G (Informative):</b>	<b>Diameter overload node behaviour .....</b>	<b>65</b>
G.1	Message prioritization .....	65
<b>Annex H (informative):</b>	<b>Change history .....</b>	<b>66</b>
History .....		70

---

## Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

---

## 1 Scope

This 3GPP Technical Specification (TS) specifies:

1. The interactions between the HSS (Home Subscriber Server) and the SIP AS (Application Server) and between the HSS and the OSA SCS (Service Capability Server). This interface is referred to as the Sh reference point.
2. The interactions between the SIP AS and the SLF (Subscription Locator Function) and between the OSA SCS and the SLF. This interface is referred to as the Dh reference point.

The IP Multimedia (IM) Core Network Subsystem stage 2 is specified in 3GPP TS 23.228 [1] and the signalling flows for the IP multimedia call control based on SIP and SDP are specified in 3GPP TS 24.228 [2].

The IP Multimedia (IM) Session Handling with the IP Multimedia (IM) call model is specified in 3GPP TS 23.218 [4].

This document addresses the signalling flows and message contents for the protocol at the Sh and Dh interface.

This document also addresses how the functionality of Ph interface is accomplished.

The Presence Service Stage 2 description (architecture and functional solution) is specified in 3GPP TS 23.141 [18].

---

## 2 References

- [1] 3GPP TS 23.228: "IP Multimedia (IM) Subsystem – Stage 2".
- [2] 3GPP TS 24.228: "Signalling flows for the IP multimedia call control based on SIP and SDP (Release 5)".
- [3] 3GPP TS 23.002: "Network architecture".
- [4] 3GPP TS 23.218: "IP Multimedia (IM) Session Handling; IP Multimedia (IM) call model".
- [5] 3GPP TS 29.329: "Sh Interface based on Diameter – Protocol details".
- [6] 3GPP TS 29.228: "IP multimedia (IM) Subsystem Cx Interface; Signalling flows and Message Elements".
- [7] 3GPP TS 29.229: "Cx and Dx Interfaces based on the Diameter protocol ; Protocol details".
- [8] IETF RFC 3588: "Diameter Base Protocol".
- [9] ITU-T recommendation Q.763: "Signalling System No. 7 - ISDN User Part formats and codes".
- [10] 3GPP TS 23.018: "Basic Call Handling; Technical realization".
- [11] 3GPP TS 23.003: "Numbering, Addressing and Identification".
- [12] 3GPP TS 23.032: "Universal Geographical Area Description (GAD)".
- [13] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".
- [14] 3GPP TS 23.078: "Customised Applications for Mobile network Enhanced Logic (CAMEL) Phase 3 - Stage 2".
- [15] IETF RFC 2045: "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies".
- [16] IETF RFC 3261: "SIP: Session Initiation Protocol".
- [17] IETF RFC 3966: "The tel URI for Telephone Numbers".
- [18] 3GPP TS 23.141: "Presence Service; Architecture and Functional Description".