American National Standard

ANSI/AAMI/ ISO 10993-17: 2002/(R)2012

Biological evaluation of medical devices — Part 17: Methods for the establishment of allowable limits for leachable substances

AAMI
Advancing Safety in Medical Technology

The Objectives and Uses of AAMI Standards and Recommended Practices

It is most important that the objectives and potential uses of an AAMI product standard or recommended practice are clearly understood. The objectives of AAMI's technical development program derive from AAMI's overall mission: the advancement of medical instrumentation. Essential to such advancement are (1) a continued increase in the safe and effective application of current technologies to patient care, and (2) the encouragement of new technologies. It is AAMI's view that standards and recommended practices can contribute significantly to the advancement of medical instrumentation, provided that they are drafted with attention to these objectives and provided that arbitrary and restrictive uses are avoided.

A voluntary *standard* for a *medical device* recommends to the manufacturer the information that should be provided with or on the product, basic safety and performance criteria that should be considered in qualifying the device for clinical use, and the measurement techniques that can be used to determine whether the device conforms with the safety and performance criteria and/or to compare the performance characteristics of different products. Some standards emphasize the information that should be provided with the device, including performance characteristics, instructions for use, warnings and precautions, and other data considered important in ensuring the safe and effective use of the device in the clinical environment. Recommending the disclosure of performance characteristics often necessitates the development of specialized test methods to facilitate uniformity in reporting; reaching consensus on these tests can represent a considerable part of committee work. When a drafting committee determines that clinical concerns warrant the establishment of *minimum* safety and performance criteria, referee tests must be provided and the reasons for establishing the criteria must be documented in the rationale.

A *recommended practice* provides guidelines for the use, care, and/or processing of a medical device or system. A recommended practice does not address device performance *per se*, but rather procedures and practices that will help ensure that a device is used safely and effectively and that its performance will be maintained.

Although a device standard is primarily directed to the manufacturer, it may also be of value to the potential purchaser or user of the device as a fume of reference for device evaluation. Similarly, even though a recommended practice is usually oriented towards health care professionals, it may be useful to the manufacturer in better understanding the environment in which a medical device will be used. Also, some recommended practices, while not addressing device performance criteria, provide guidelines to industrial personnel on such subjects as sterilization processing, methods of collecting data to establish safety and efficacy, human engineering, and other processing or evaluation techniques; such guidelines may be useful to health care professionals in understanding industrial practices.

In determining whether an AAMI standard or recommended practice is relevant to the specific needs of a potential user of the document, several important concepts must be recognized:

All AAMI standards and recommended practices are *voluntary* (unless, of course, they are adopted by government regulatory or procurement authorities). The application of a standard or recommended practice is solely within the discretion and professional judgment of the user of the document.

Each AAMI standard or recommended practice reflects the collective expertise of a committee of health care professionals and industrial representatives, whose work has been reviewed nationally (and sometimes internationally). As such, the consensus recommendations embodied in a standard or recommended practice are intended to respond to clinical needs and, ultimately, to help ensure patient safety. A standard or recommended practice is limited, however, in the sense that it responds generally to perceived risks and conditions that may not always be relevant to specific situations. A standard or recommended practice is an important *reference* in responsible decision-making, but it should never *replace* responsible decisionmaking.

Despite periodic review and revision (at least once every five years), a standard or recommended practice is necessarily a static document applied to a dynamic technology. Therefore, a standards user must carefully review the reasons why the document was initially developed and the specific rationale for each of its provisions. This review will reveal whether the document remains relevant to the specific needs of the user.

Particular care should be taken in applying a product standard to existing devices and equipment, and in applying a recommended practice to current procedures and practices. While observed or potential risks with existing equipment typically form the basis for the safety and performance criteria defined in a standard, professional judgment must be used in applying these criteria to existing equipment. No single source of information will serve to identify a particular product as "unsafe". A voluntary standard can be used as one resource, but the ultimate decision as to product safety and efficacy must take into account the specifics of its utilization and, of course, cost-benefit considerations. Similarly, a recommended practice should be analyzed in the context of the specific needs and resources of the individual institution or firm. Again, the rationale accompanying each AAMI standard and recommended practice is an excellent guide to the reasoning and data underlying its provision.

In summary, a standard or recommended practice is truly useful only when it is used in conjunction with other sources of information and policy guidance and in the context of professional experience and judgment.

INTERPRETATIONS OF AAMI STANDARDS AND RECOMMENDED PRACTICES

Requests for interpretations of AAMI standards and recommended practices must be made in writing, to the Manager for Technical Development. An official interpretation must be approved by letter ballot of the originating committee and subsequently reviewed and approved by the AAMI Standards Board. The interpretation will become official and representation of the Association only upon exhaustion of any appeals and upon publication of notice of interpretation in the "Standards Monitor" section of the *AAMI News.* The Association for the Advancement of Medical Instrumentation disclaims responsibility for any characterization or explanation of a standard or recommended practice which has not been developed and communicated in accordance with this procedure and which is not published, by appropriate notice, as an *official interpretation* in the *AAMI News.*

Biological evaluation of medical devices—Part 17: Establishment of allowable limits for leachable substances

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Association for the Advancement of Medical Instrumentation

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American National Standards Institute

Abstract: Specifies the method to be used to determine allowable limits for leachable substances in medical devices.

Keywords: biological evaluation, medical devices, leachable substances

AAMI Standard

This Association for the Advancement of Medical Instrumentation (AAMI) standard implies a consensus of those substantially concerned with its scope and provisions. The existence of an AAMI standard does not in any respect preclude anyone, whether they have approved the standard or not, from manufacturing, marketing, purchasing, or using products, processes, or procedures not conforming to the standard. AAMI standards are subject to periodic review, and users are cautioned to obtain the latest editions.

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All AAMI standards, recommended practices, technical information reports, and other types of technical documents developed by AAMI are *voluntary*, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.

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International standards adopted in the United States may include normative references to other international standards. For each international standard that has been adopted by AAMI (and ANSI), the table below gives the corresponding U.S. designation and level of equivalency to the international standard. (Note: Documents are sorted by international designation.)

Other normatively referenced international standards may be under consideration for U.S. adoption by AAMI; therefore, this list should not be considered exhaustive.

International designation	U.S. designation	Equivalency
IEC 60601-1-2:2001	ANSI/AAMI/IEC 60601-1-2:2001	Identical
IEC 60601-2-21:1994 and Amendment 1:1996	ANSI/AAMI/IEC 60601-2-21 & Amendment 1:2000 (consolidated texts)	Identical
IEC 60601-2-24:1998	ANSI/AAMI ID26:1998	Major technical variations
ISO 5840:1996	ANSI/AAMI/ISO 5840:1996	Identical
ISO 7198:1998	ANSI/AAMI/ISO 7198:1998/2001	Identical
ISO 7199:1996	ANSI/AAMI/ISO 7199:1996	Identical
ISO 10993-1:1997	ANSI/AAMI/ISO 10993-1:1997	Identical
ISO 10993-2:1992	ANSI/AAMI/ISO 10993-2:1993/(R)2001	Identical
ISO 10993-3:1992	ANSI/AAMI/ISO 10993-3:1993	Identical
ISO 10993-4:2001	ANSI/AAMI/ISO 10993-4:2001	Identical
ISO 10993-5:1999	ANSI/AAMI/ISO 10993-5:1999	Identical
ISO 10993-6:1994	ANSI/AAMI/ISO 10993-6:1995/(R)2001	Identical
ISO 10993-7:1995	ANSI/AAMI/ISO 10993-7:1995/(R)2001	Identical
ISO 10993-8:2000	ANSI/AAMI/ISO 10993-8:2000	Identical
ISO 10993-9:1999	ANSI/AAMI/ISO 10993-9:1999	Identical
ISO 10993-10:2002	ANSI/AAMI BE78:2002	Minor technical variations
ISO 10993-11:1993	ANSI/AAMI 10993-11:1993	Minor technical variations
ISO 10993-12:1996	ANSI/AAMI/ISO/CEN 10993-12:1996	Identical
ISO 10993-13:1998	ANSI/AAMI/ISO 10993-13:1999	Identical
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ISO 10993-15:2000	ANSI/AAMI/ISO 10993-15:2000	Identical
ISO 10993-16:1997	ANSI/AAMI/ISO 10993-16:1997	Identical
ISO 10993-17:2001	ANSI/AAMI/ISO 10993-17:2001	Identical
ISO 11134:1994	ANSI/AAMI/ISO 11134:1993	Identical
ISO 11135:1994	ANSI/AAMI/ISO 11135:1994	Identical
ISO 11137:1995	ANSI/AAMI/ISO 11137:1994	Identical
ISO 11138-1:1994	ANSI/AAMI ST59:1999	Major technical variations

International designation	U.S. designation	Equivalency
ISO 11138-2:1994	ANSI/AAMI ST21:1999	Major technical variations
ISO 11138-3:1995	ANSI/AAMI ST19:1999	Major technical variations
ISO TS 11139:2001	ANSI/AAMI/ISO 11139:2002	Identical
ISO 11140-1:1995 and Technical Corrigendum 1:1998	ANSI/AAMI ST60:1996	Major technical variations
ISO 11607:2002	ANSI/AAMI/ISO 11607:2000	Identical
ISO 11737-1:1995	ANSI/AAMI/ISO 11737-1:1995	Identical
ISO 11737-2:1998	ANSI/AAMI/ISO 11737-2:1998	Identical
ISO TR 13409:1996	AAMI/ISO TIR 13409:1996	Identical
ISO 13485:1996	ANSI/AAMI/ISO 13485:1996	Identical
ISO 13488:1996	ANSI/AAMI/ISO 13488:1996	Identical
ISO 14155:1996	ANSI/AAMI/ISO 14155:1996	Identical
ISO 14160:1998	ANSI/AAMI/ISO 14160:1998	Identical
ISO 14161: 2000	ANSI/AAMI/ISO 14161:2000	Identical
ISO 14937:2000	ANSI/AAMI/ISO 14937:2000	Identical
ISO 14969:1999	ANSI/AAMI/ISO 14969:1999	Identical
ISO 14971:2000	ANSI/AAMI/ISO 14971:2000	Identical
ISO 15223:2000	ANSI/AAMI/ISO 15223:2000	Identical
ISO 15223/A1:2002	ANSI/AAMI/ISO 15223:2000/A1:2001	Identical
ISO 15225:2000	ANSI/AAMI/ISO 15225:2000	Identical
ISO 15674:2001	ANSI/AAMI/ISO 15674:2001	Identical
ISO 15675:2001	ANSI/AAMI/ISO 15675:2001	Identical
ISO TS 15843:2000	ANSI/AAMI/ISO TIR15843:2000	Identical
ISO TR 15844:1998	AAMI/ISO TIR15844:1998	Identical
ISO TR 16142:1999	ANSI/AAMI/ISO TIR16142:2000	Identical

Committee representation

Association for the Advancement of Medical Instrumentation

Biological Evaluation Committee

The adoption of ISO 10993-17:2001 as an American National Standard was initiated by the AAMI Biological Evaluation Committee, which also functions as a U.S. Technical Advisory Group to the relevant work in the International Organization for Standardization (ISO). U.S. representatives from the AAMI Allowable Limits for Leachable Substances Working Group (U.S. Sub-TAG for ISO/TC 194/WG 11), chaired by Lawrence H. Hecker, PhD, of Abbott Laboratories, played an active part in developing the ISO standard.

At the time this document was published, the **AAMI Biological Evaluation Committee** had the following members:

Cochairs:	Donald F. Gibbons, PhD
	Donald E. Marlowe
Members:	James M. Anderson, MD, PhD, Case Western Reserve University
	Sumner A. Barenberg, PhD, Bernard Technologies
	Eric R. Claussen, PhD, Becton Dickinson
	Roger Dabbah, PhD, U.S. Pharmacopeial Convention, Inc.
	Donald F. Gibbons, PhD, 3M
	Donald E. Marlowe, FDA Center for Devices and Radiological Health
	Edward Mueller, Consultant, Annapolis, MD
	Barry F. Page, Consultant, Garner, NC
	Melvin E. Stratmeyer, PhD, FDA/CDRH/OST
	Paul Upman, NAmSA
Alternates:	Raju G. Kammula, DVM, PhD, FDA/CDRH/ODE
	Sharon Northup, PhD, U.S. Pharmacopeial Convention, Inc.

At the time this document was published, the **AAMI Allowable Limits for Leachable Substances Working Group** had the following members:

Cochair:	Lawrence H. Hecker, PhD
Members:	Thomas A. Barbolt, PhD, DABT, Ethicon, Inc.
	Leonard S. Berman, PhD, Pall Corporation
	Ronald P. Brown, FDA/CDRH/OST
	Jon Cammack, PhD, Baxter Healthcare Corporation
	Charles Cogdill, Boston Scientific Corporation
	Stephen A. Conviser, Honeywell International
	Kimbrell Darnell, Bard Medical Division
	Lawrence H. Hecker, PhD, Abbott Laboratories
	Sharon J. Northup, PhD, Northup Regulatory Toxicology Services
	Barry F.J. Page, Barry Page Consulting
	Dennis L. Powers, DVM, TYCO Healthcare/Kendall
	James D. Sun, PhD, Becton Dickinson
	Peter William Urbanski, Medtronic World Headquarters Campus
	William T. Young, IBA Medical Sterilization & Analytical Labs
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	David W. Eaker, PhD, Becton Dickinson
	Mitchell B. Friedman, PhD , Abbott Laboratories
	Dennis Jenke, PhD, Baxter Healthcare Corporation
	David Porter, PhD, US Pharmacopeia Convention Inc
	Melvin E. Stratmeyer, PhD, FDA/CDRH/OST

NOTE—Participation by federal agency representatives in the development of this standard does not constitute endorsement by the federal government or any of its agencies.

Background of ANSI/AAMI adoption of ISO 10993-17:2002

As indicated in the foreword to the main body of this document (page x), the International Organization for Standardization (ISO) is a worldwide federation of national standards bodies. The United States is one of the ISO members that took an active role in the development of this standard.

International standard ISO 10993-17 was developed by Technical Committee ISO/TC 194, Biological evaluation of medical devices, to provide guidance on the assessment of medical devices and their constituent materials with regard to their potential to produce irritation and delayed-type hypersensitivity.

U.S. participation in this ISO TC is organized through the U.S. Technical Advisory Group for ISO/TC 194, administered by the Association for the Advancement of Medical Instrumentation on behalf of the American National Standards Institute. The U.S. made a considerable contribution to this International Standard.

AAMI encourages its committees to harmonize their work with International Standards in the area of biological evaluation of medical devices as much as possible in order to help reduce unnecessary repetition of testing.

Upon review of ISO 10993-17, the AAMI Biological Evaluation Committee and the AAMI Allowable Limits for Leachable Substances Working Group decided to adopt ISO 10993-17:2002 verbatim as a new American National Standard.

AAMI (and ANSI) have adopted other ISO standards. See the Glossary of Equivalent Standards for a list of ISO standards adopted by AAMI, which gives the corresponding U.S. designation and the level of equivalency with the ISO standard.

The concepts incorporated in this standard should not be considered inflexible or static. This standard, like any other, must be reviewed and updated periodically to assimilate progressive technological developments. To remain relevant, it must be modified as technological advances are made and as new data comes to light.

Suggestions for improving this standard are invited. Comments and suggested revisions should be sent to Standards Department, AAMI, 1110 N. Glebe Road, Suite 220, Arlington, VA 22201-4795.

NOTE—Beginning with the ISO foreword on page x, this American National Standard is identical to ISO 10993-17:2002.

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 3.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this part of ISO 10993 may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 10993-17 was prepared by Technical Committee ISO/TC 194, *Biological evaluation of medical devices*.

ISO 10993 consists of the following parts, under the general title *Biological evaluation of medical devices*:

— *Part 1: Evaluation and testing*

— *Part 2: Animal welfare requirements*

— *Part 3: Tests for genotoxicity, carcinogenicity, and reproductive toxicity*

— *Part 4: Selection of tests for interactions with blood*

— *Part 5: Tests for in vitro cytotoxicity*

— *Part 6: Tests for local effects after implantation*

— *Part 7: Ethylene oxide sterilization residuals*

— *Part 8: Selection and qualification of reference materials for biological tests*

— *Part 9: Framework for identification and quantification of potential degradation products*

— *Part 10: Tests for irritation and delayed-type hypersensitivity*

— *Part 11: Tests for systemic toxicity*

— *Part 12: Sample preparation and reference materials*

— *Part 13: Identification and quantification of degradation products from polymeric medical devices*

— *Part 14: Identification and quantification of degradation products from ceramics*

— *Part 15: Identification and quantification of degradation products from metals and alloys*

— *Part 16: Toxicokinetic study design for degradation products and leachables*

— *Part 17: Establishment of allowable limits for leachable substances*

— *Part 18: Chemical characterization of materials*

Future parts will deal with other relevant aspects of biological testing.

For the purposes of this part of ISO 10993, the CEN annex regarding fulfillment of European Council Directives has been removed.

Introduction

The determination of the suitability of a medical device for a particular use involves balancing any identified risks with the clinical benefit to the patient associated with its use. Among the risks to be considered are those arising from exposure to leachable substances arising from medical devices.

Risks associated with exposure to hazardous leachable substances are managed by identifying the leachable substances, quantifying the associated risks and limiting exposure within tolerable levels. This part of ISO 10993 provides a method by which maximum tolerable levels can be calculated from available data on health risks. Allowable limits may be based upon health risks that can be systemic or local, immediate or delayed, and range in severity from minor localized adverse effects to life threatening risks. These allowable limits are intended to be derived, using this part of ISO 10993, by toxicologists or other knowledgeable and experienced individuals, capable of making informed decisions based upon scientific data and a knowledge of medical devices.

The allowable limits derived may be used by anyone. In addition to use by ISO, other standards-developing organizations, government agencies, regulatory bodies, and other users for setting allowable limits as standards or regulations, manufacturers and processors may use the allowable limits derived to optimize processes and aid in the choice of materials in order to protect patient health. Where risks associated with exposure to particular leachable substances are unacceptable, this part of ISO 10993 can be used to qualify alternative materials or processes.

Biological evaluation of medical devices—Part 17: Establishment of allowable limits for leachable substances

1 Scope

This part of ISO 10993 specifies the method for the determination of allowable limits for substances leachable from medical devices. It is intended for use in deriving standards and estimating appropriate limits where standards do not exist. It describes a systematic process through which identified risks arising from toxicologically hazardous substances present in medical devices can be quantified.

This part of ISO 10993 is not applicable to devices that have no patient contact (e.g., *in vitro* diagnostic devices).

Exposure to a particular chemical substance may arise from other sources other than the device, such as food, water, or air. This part of ISO 10993 does not address the potential for exposure from such sources.

2 Normative reference

The following normative document contains provisions which, through reference in this text, constitute provisions of this part of ISO 10993. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. However, parties to agreements based on this part of ISO 10993 are encouraged to investigate the possibility of applying the most recent editions of the normative document indicated below. For undated references, the latest edition of the normative document referred to applies. Members of ISO and IEC maintain registers of currently valid International Standards.

ISO 10993-1, *Biological evaluation of medical devices—Part 1: Evaluation and testing*

3 Terms and definitions

For purposes of this part of ISO 10993, the terms and definitions given in ISO 10993-1 and the following apply.

3.1 allowable limit (AL): Largest amount of a leachable substance that is deemed acceptable on a daily basis, when taken into the body through exposure to a medical device.

NOTE—Allowable limits are expressed in dose to the patient for each applicable exposure period. The units used are mass per unit time, e.g., milligrams per day. These doses represent tolerable risks for medical devices under the circumstances of intended use.

3.2 benefit factor (BF): Numerical factor that takes into account the health benefit from use of the medical device(s) containing with the leachable substance in question.

3.3 concomitant exposure factor (CEF): Numerical factor that accounts for patient exposure to many medical devices containing the same leachable substance.

NOTE—This factor is used to adjust the product of TI and body mass downward.

3.4 default: Value to be used, in the absence of data, for an uncertainty or other factor used in the calculation of the allowable limit.

3.5 harm to health: Physical injury and/or damage to health.

3.6 health benefit: Likelihood of maintaining or improving health.

3.7 health hazard: Potential source of harm to health.

3.8 health risk: Combination of the likelihood of occurrence of harm to health and the severity of that harm.

3.9 health risk analysis: Use of available information to identify health hazards and to estimate health risk.

